

THE FORMULATION OF THE CYCLOTOMIC IWASAWA MAIN CONJECTURE IN MAZUR-WILES PAPER, PRELIMINARY VERSION

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1. ALGEBRAIC SIDE: IWASAWA MODULES AND CHARACTERISTIC IDEALS IN CYCLOTOMIC IWASAWA THEORY.

Let us fix p an odd prime once and for all.

By a p -adic Dirichlet character we mean a character $\chi : (\mathbb{Z}/N)^* \rightarrow \overline{\mathbb{Q}_p}^*$ and by $\mathcal{O}_\chi \subset \overline{\mathbb{Q}_p}$ we mean the ring extension of \mathbb{Z}_p generated by the values of χ , where as usual \mathbb{Q}_p are the p -adic numbers and \mathbb{C}_p will denote the completion of $\overline{\mathbb{Q}_p}$. We assume, once and for all, that the conductor of χ , called f in the text, is not divisible by p^2 (i.e. χ is a character of first kind). As usual, the Teichmüller character $\omega : (\mathbb{Z}/p)^* = \mathbb{F}_p^* \rightarrow \mathbb{Z}_p^* \subseteq \overline{\mathbb{Q}_p}^*$ is the unique character of order $p-1$ such that $\omega(a) \equiv a \pmod{p}$.

Let μ_{p^n} be the group of p^n -th roots of unity and set

$$\mathbb{Q}(\mu_{p^\infty}) := \cup_{n=1}^{\infty} \mathbb{Q}(\mu_{p^n}).$$

Denote by $\mathbb{Q}_\infty/\mathbb{Q}$ the unique \mathbb{Z}_p -extension of \mathbb{Q} , where $[\mathbb{Q}(\mu_{p^\infty}) : \mathbb{Q}_\infty] = p-1$, and $\mathbb{Q}_n \subset \mathbb{Q}_\infty$ the subfield of degree p^n over \mathbb{Q} .

For a finite abelian extension F/\mathbb{Q} , write $F_\infty = F\mathbb{Q}_\infty$, and $F_n = F\mathbb{Q}_n$. The \mathbb{Z}_p -extension F_∞/F is called the cyclotomic extension of the number field F and denote by Γ the Galois group $\text{Gal}(F_\infty/F)$.

Consider the Iwasawa algebra: $\mathbb{Z}_p[[\text{Gal}(F_\infty/M)]] := \varprojlim_n \mathbb{Z}_p[\text{Gal}(F_n/M)]$ with $\mathbb{Q} \subset M \subset F$ such that F_∞/M is abelian. Assume once and for all that $F \cap \mathbb{Q}_\infty = \mathbb{Q}$, (recall any χ of first kind is attached by class field theory to a field F with $F \cap \mathbb{Q}_\infty = \mathbb{Q}$).

We have

$$\mathbb{Z}_p[[\text{Gal}(F_\infty/\mathbb{Q})]] = \mathbb{Z}_p[\text{Gal}(F/\mathbb{Q})][[\text{Gal}(F_\infty/F)]] \cong \mathbb{Z}_p[\text{Gal}(F/\mathbb{Q})][[\Gamma]].$$

For any \mathbb{Z}_p -module U which admits a continuous action of $\text{Gal}(F_\infty/\mathbb{Q})$, the χ -part of U is the $\mathcal{O}_\chi[[\Gamma]]$ -module obtained by change of scalars:

$$U_\chi = U \otimes_{\mathbb{Z}_p[\text{Gal}(F/\mathbb{Q})]} \mathcal{O}_\chi.$$

Recall that we have a non-canonical isomorphism:

$$\sigma_\gamma : \mathcal{O}_\chi[[\Gamma]] \rightarrow \Lambda_\chi := \mathcal{O}_\chi[[T]]$$

mapping γ (a topological generator of Γ) to $1+T$, latter on we will fix this choice.

Recall the following statements on the theory of Λ_χ -modules of finite type:

- (1) M, N are Λ_χ -pseudo-isomorphic if exists a Λ_χ -homomorphism from M to N with finite kernel and cokernel. We write $M \sim N$ if they are Λ_χ -pseudo-null, which is an equivalence relation for Λ_χ -torsion modules.
- (2) M a Λ_χ -torsion (always of finite type), then

$$M \sim \oplus_{i=1}^r \Lambda_\chi / (h_i)$$

for some natural r where each $h_i \in \Lambda_\chi$. The invariant

$$(h_1 \cdots h_r) = \text{Char}_{\Lambda_\chi}(M)$$

is named the characteristic ideal of the Λ_χ -module M .

Recall that for any $\alpha \in \Lambda_\chi$, we can write

$$\alpha = \pi^\mu h(T)v(T)$$

where π is an uniformizer of \mathcal{O}_χ , $h(T)$ a distinguished polynomial of degree λ (i.e. the reduction of $h(T)$ in $\Lambda_\chi/(p)$ is T^λ), and $v(T)$ a unit of Λ_χ .

For $\text{Char}_{\Lambda_\chi}(M) = (\alpha)$ with α as above, the number $\mu \in \mathbb{N}$ is called the μ -invariant of M , and $\lambda = \text{degree}_T(h(T))$ is named the λ -invariant of M .

Denote by $A_n(F)$ the p -primary component of the ideal class group of F_n and by $H_n(F)$ the Galois group of the p -Hilbert class field of F_n over F_n , a $\text{Gal}(F/\mathbb{Q})$ -module by the conjugation.

The inclusion of the divisor groups induces a map $\iota_n : A_n(F) \rightarrow A_{n+1}(F)$ and $A_\infty(F) := \varprojlim_{\iota_n} A_n(F)$ defines a $\mathbb{Z}_p[[\text{Gal}(F_\infty/\mathbb{Q})]]$ -module.

The restriction maps $Res_{n+1} : H_{n+1}(F) \rightarrow H_n(F)$ allow us to define the $\mathbb{Z}_p[[\text{Gal}(F_\infty/\mathbb{Q})]]$ -module:

$$H_\infty(F) := \varprojlim_{Res_n} H_n(F).$$

We have an isomorphism compatible with the $\text{Gal}(F/\mathbb{Q})$ -action $A_n(F) \xrightarrow{\cong} H_n(F)$, satisfying the following commutative diagrams:

$$\begin{array}{ccccccc} A_{n+1}(F) & \xrightarrow{\cong} & H_{n+1}(F) & & A_n(F) & \xrightarrow{\cong} & H_n(F) \\ \downarrow Norm & & \downarrow Res & & \downarrow \iota_n & & transfer \\ A_n(F) & \xrightarrow{\cong} & H_n(F) & & A_{n+1}(F) & \xrightarrow{\cong} & H_{n+1}(F) \end{array}$$

Recall that $\text{Hom}(A_\infty(F), \mathbb{Q}_p/\mathbb{Z}_p)$ is a $\mathbb{Z}_p[[\text{Gal}(F_\infty/\mathbb{Q})]]$ -module with action given by $(\tau \cdot f)(a) := \tau f(\tau^{-1}a) = f(\tau^{-1}a)$. Iwasawa proved that:

$$\text{Hom}(A_\infty(F), \mathbb{Q}_p/\mathbb{Z}_p)_{\chi^{-1}} \sim H_\infty(F)_\chi^\#$$

as Λ_χ -modules (through the above fixed isomorphism σ_γ) and they are Λ_χ -finite modules finitely generated, where $\#$ denotes the same group but with the $\text{Gal}(F_\infty/\mathbb{Q})$ action given by the rule $\tau h^\# := \tau^{-1}h$.

Therefore, we can define by $h_p(F, \chi, T)$ the generator of $\text{char}_{\Lambda_\chi}(H_\infty(F)_\chi)$ as the product of π^μ (with a fixed uniformizer for \mathcal{O}_χ) and a distinguished polynomial.

Proposition 1. *We have the following results,*

$$\text{char}_{\Lambda_\chi}(H_\infty(F)_{\infty, \chi}^\#) = (h_p(\chi, (1+T)^{-1} - 1))$$

$$\text{char}_{\Lambda_\chi}(\text{Hom}(A_\infty, \mathbb{Q}_p/\mathbb{Z}_p)_\chi) = (h_p(\chi^{-1}, (1+T)^{-1} - 1))$$

Remark 2. *Usually there is another Λ_χ -Iwasawa module for which the main conjecture is formulated. Consider M_n the maximal abelian p -extension of F_n which is unramified except possibly at the primes of F_n lying above p . Consider $M_\infty := \cup_{n \geq 0} M_n$ and denote by $X_\infty = \text{Gal}(M_\infty/F_\infty)$ which is a $\mathbb{Z}_p[[\text{Gal}(F_\infty/F)]]$ -module as usual action by conjugation.*

Then $X_{\infty, \chi}$ is a finitely generated Λ_χ -module and it is a torsion module if χ is an even character, and under that assumption, we have an isomorphism of Λ_χ -modules:

$$X_{\infty, \chi} \cong \text{Hom}(A_\infty, \mathbb{Q}_p/\mathbb{Z}_p(1))_\chi \cong \text{Hom}(A_\infty, \mathbb{Q}_p/\mathbb{Z}_p)_{\omega^{-1}\chi},$$

where $\mathbb{Q}_p/\mathbb{Z}_p(1) = \mu_{p^\infty} = \cup_{n \geq 0} \mu_{p^n}$ (the first Tate twist) and ω denotes the Teichmüller character. Therefore, for χ even we have:

$$\text{char}_{\Lambda_\chi}(X_{\infty, \chi}) = (h_p(\omega\chi^{-1}, u(1+T)^{-1} - 1))$$

where u is $\kappa(\gamma) \in \mathbb{Z}_p^$ where κ is the p -cyclotomic character restricted to $\Gamma = \text{Gal}(F_\infty/F)$, and γ a fixed topological generator of Γ .*

For some computations, Mazur and Wiles work with Fitting ideals instead of characteristic ideals, see §5 for few details of the role that plays in the paper. (For a survey on Fitting ideals, see [3]).

Definition 3. *Let Z be a finitely generated Λ_χ -module and let*

$$\Lambda_\chi^a \xrightarrow{\psi} \Lambda_\chi^b \twoheadrightarrow Z$$

be a presentation, where the map ψ can be represented by an $a \times b$ -matrix Φ_Z with entries in Λ_χ .

In this setting, the Fitting ideal of Z is the ideal generated by all the determinants of the $b \times b$ -minors of Φ_Z if $a \geq b$ and otherwise is the zero ideal. We denote this ideal by $\text{Fitt}_{\Lambda_\chi}(Z)$.

And recall the following result

Lemma 4. *Let Z be a finitely generated Λ_χ -module having no \mathcal{O}_χ -torsion. Then,*

$$\text{char}_{\Lambda_\chi}(Z) = \text{Fitt}_{\Lambda_\chi}(Z).$$

An more general we have

Lemma 5. *Let Z be a finitely generated torsion Λ_χ -module such that $\mu = 0$. Then we have,*

$$\text{char}_{\Lambda_\chi}(Z)(\pi, T)^{\text{length}_{\Lambda_\chi}(\text{tor}_{\mathcal{O}_\chi}(Z))} \subseteq \text{Fitt}_{\Lambda_\chi}(Z) \subseteq \text{char}_{\Lambda_\chi}(Z).$$

Concerning our involved Iwasawa modules in order to relate Fitting ideals with characteristic ideals we have the following result

Proposition 6. *For each odd character χ , we have:*

- (1) (Iwasawa) $H_{\infty, \chi}$ and $\text{Hom}(A_{\infty}, \mathbb{Q}_p/\mathbb{Z}_p)_{\chi}$ have no finite Λ_{χ} -submodules,
- (2) (Ferrero-Washington) The μ -invariant for $H_{\infty, \chi}$ and $\text{Hom}(A_{\infty}, \mathbb{Q}_p/\mathbb{Z}_p)_{\chi}$ are zero, in particular both are of finite type as \mathbb{Z}_p -modules.

2. ANALYTIC SIDE: p -ADIC L -FUNCTIONS AND IWASAWA MAIN CONJECTURE

Let χ be a Dirichlet character associated to F with conductor f ,

$$L(s, \chi) = \sum_{n=1}^{\infty} \frac{\chi(n)}{n^s}; \quad \text{Re}(s) > 1.$$

From the functional equation, we have for $m \geq 1$ integer:

$$L(1-m, \chi) \begin{cases} \neq 0 & \text{if } m \equiv \delta \pmod{2} \\ = 0 & \text{otherwise} \end{cases}$$

where $\delta = 0$ if χ even and 1 if χ is odd.

We can relate these special values to the generalized Bernoulli numbers, namely, recall that $B_{n, \chi}$ are defined by

$$\sum_{a=1}^f \frac{\chi(a) t e^{at}}{e^{ft} - 1} = \sum_{n=0}^{\infty} B_{n, \chi} \frac{t^n}{n!},$$

Proposition 7. For $m \geq 1$ we have $L(1-m, \chi) = -\frac{B_{m, \chi}}{m}$.

The Bernoulli polynomials $B_n(X)$ defined by

$$\frac{te^{Xt}}{e^t - 1} = \sum_{n=0}^{\infty} B_n(X) \frac{t^n}{n!},$$

which satisfy

$$B_{n, \chi} = f^{n-1} \sum_{a=1}^f \chi(a) B_n\left(\frac{a}{f}\right)$$

and play a key role in order to construct a series named p -adic L -function, and that we write $L_p(s, \chi)$ with $s \in \mathbb{C}_p$ in some range of convergence such that

$$L_p(1-m, \chi) = -(1 - \chi\omega^{-n}(p)p^{m-1}) \frac{B_{m, \chi\omega^{-m}}}{m},$$

for $m \geq 1$.

Recall that $L_p(T, \chi) \in \text{Frac}(\mathcal{O}_{\chi})[[T]]$, where $\text{Frac}(R)$ denotes the field of fractions of a domain R . For further details on $L_p(s, \chi)$, as its classical construction, see for example §3.4[1].

In section §4, following the classical work of Iwasawa, we construct a formal power series $G_p(T, \chi) \in \mathcal{O}_{\chi}[[T]]$ which should interpolate the p -adic L -functions as a measure, in particular in order to simplify, χ is assumed to be of first kind (i.e. $p^2 \nmid f$ the conductor).

The power series $G_p(T, \chi)$ is characterized by the property

$$G_p(\chi, u^s - 1) = L_p(\chi, s), \quad \forall s \in \mathbb{Z}_p.$$

The following formulation of a Cyclotomic Iwasawa Main Conjecture (IMC in the following in the text) was formulated by Greenberg:

Conjecture 8 (IMC). Let χ be an even primitive Dirichlet character of first kind. Then, as ideals of $\mathcal{O}_{\chi}[[T]]$, we have

$$(h_p(\omega\chi^{-1}, T)) = (G_p(\chi, T)).$$

3. IWASAWA THEORY IN TERMS OF COMPONENTS

Let us denote by $G = G_p \times G'_p$ a finite abelian group of order k where G_p the p -primary component and G'_p the product of all ℓ -primary components with $\ell \neq p$. Consider

$$R = \mathbb{Z}_p[G] = \mathbb{Z}_p[G_p] \otimes \mathbb{Z}_p[G'_p]$$

a complete ring, product of local rings (i.e., a semi-local ring).

There is a bijection between any of the sets in the list:

- (1) connected components of $\text{Spec}(R)$
- (2) irreducible idempotents of R ,

(3) maximal ideals of R ,

(4) \mathbb{Q}_p -conjugacy classes of $\overline{\mathbb{Q}_p}^*$ -valued characters of G'_p .

Write Π_R for the set of connected components of $\text{Spec}(R)$ and let us refer to its elements as *components*.

For each $\mathfrak{m} \in \Pi_R$, $R_{\mathfrak{m}}$ denotes the completion of R with respect to the corresponding maximal ideal and $e_{\mathfrak{m}}$ the irreducible idempotent. We have

$$R = \prod_{\mathfrak{m} \in \Pi_R} R_{\mathfrak{m}}.$$

Denote by Σ_R the set of irreducible components of $\text{Spec}(R)$, and we have a bijectivity with \mathbb{Q}_p -conjugacy classes of $\overline{\mathbb{Q}_p}^*$ -valued characters of G . The elements of Σ_R are called *sheets* and we have a surjection:

$$\Sigma_R \twoheadrightarrow \Pi_R.$$

The basic *sheet* of a *component* \mathfrak{m} (corresponding to a \mathbb{Q}_p -conjugacy of a character χ' on G'_p) is the sheet corresponding to the \mathbb{Q}_p -conjugacy of the character of G obtained from χ' with the projection $G \rightarrow G'_p$.

Observe that the basic sheet corresponds to the characters of G in \mathfrak{m} of order prime to p , these characters are named basic characters.

Fix an integer a prime to p and set $G_{a,n} := (\mathbb{Z}/ap^n)^*$, and $R_{a,n} = \mathbb{Z}_p[G_{a,n}]$. Define from the natural projections $R_{a,n+1} \twoheadrightarrow R_{a,n}$,

$$R_{a,\infty} := \varprojlim_n R_{a,n}$$

and since $(G_{a,n})'_p = (G_{a,1})'_p$ we have (componentwise):

$$R_{a,\infty,\mathfrak{m}} := \varprojlim_n (R_{a,n})_{\mathfrak{m}}.$$

A component \mathfrak{m} of $R_{a,\infty,\mathfrak{m}}$ is primitive or (a -primitive) if the conductor of any basic character is either a or ap .

A component \mathfrak{m} of $R_{a,\infty,\mathfrak{m}}$ is pseudo-primitive if there is some character of \mathfrak{m} whose conductor is a or ap .

A component \mathfrak{m} is even (resp. odd) if every character belonging to \mathfrak{m} is even (resp. odd).

Examples 9. We give different examples of the above concepts.

(1) Take $p = 3$ and $a = 11$. Consider a character of conductor 33

$$\chi : (\mathbb{Z}/33)^* \cong (\mathbb{Z}/3)^* \times (\mathbb{Z}/11)^* \cong C_2 \times C_{10} \rightarrow \mathbb{C}_3^*.$$

(recall that the finite subgroup in \mathbb{Z}_3^* of square roots of unity is $\{\pm 1\}$). The character χ is primitive if it does not vanish on C_2 , and χ^2 has conductor 11 , in particular, χ^2 is a pseudo-primitive character in this case.

(2) Take $p = 3$ and $a = 2$, take the character

$$\chi : (\mathbb{Z}/6)^* \rightarrow \mathbb{Z}_3^* \subseteq \mathbb{C}_3^*$$

of order 2 given by $5 \mapsto -1$. It is a basic character of conductor 3, in particular it is not primitive. It is neither a Galois conjugate, because the image is in \mathbb{Z}_3^* , thus it is not pseudo-primitive.

(3) Take p an odd prime and $a = 1$. Then, we have the Teichmüller character

$$\omega : (\mathbb{Z}/p)^* \rightarrow \mathbb{Z}_p^*.$$

The conductor of ω^j with $(j, p-1) = 1$ is p and hence, it is primitive, and a basic character because the Galois conjugation is exactly the same character.

Write $\Gamma_a := \ker(\mathbb{Z}_{p,a}^* := \varprojlim_n \mathbb{Z}/ap^n \rightarrow (\mathbb{Z}/pa\mathbb{Z})^*)$, a free pro- p -group on one generator u such that $u \not\equiv 1 \pmod{ap^2}$, and $\Gamma_a/\Gamma_a^{p^n} \times (\mathbb{Z}/ap)^* \cong G_{a,n-1}$, therefore we have:

$$R_{a,\infty,\mathfrak{m}} \cong R_{a,1,\mathfrak{m}}[[\Gamma_a]]$$

$$R_{a,n,\mathfrak{m}} \cong R_{a,1,\mathfrak{m}}[\Gamma_a/\Gamma_a^{p^{n-1}}].$$

Definition 10. For $k \in \mathbb{Z}$, the k -twisted yoke

$$\rho_k : \mathbb{Z}_p[[\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})]] \rightarrow R_{a,\infty}$$

is the unique continuous ring homomorphism such that

$$\rho_k(g) = \varepsilon_{p,1}^k(g)[\varepsilon_{p,a}(g)]$$

where

$$\varepsilon_{p,a} : \text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}) \rightarrow \mathbb{Z}_{p,a}^*$$

defined by the property $\zeta_{ap^n}^{\varepsilon_{p,a}(g)} = g(\zeta_{ap^n})$ for every ap^n -th root ζ_{ap^n} of 1 in $\overline{\mathbb{Q}}$, and observe

$$\alpha \circ \varepsilon_{p,a} = \varepsilon_{p,1}$$

where $\alpha : \mathbb{Z}_{p,a}^* \rightarrow \mathbb{Z}_{p,1}^* = \mathbb{Z}_p^*$ the natural projection.

The conjugate k -twisted yoke is defined by $\bar{\rho}_k(g) := \varepsilon_{p,1}^k(g)[\varepsilon_{p,a}(g)]^{-1}$. Now if \mathfrak{m} is a component of $R_{a,\infty}$ we denote by $\eta_{k,\mathfrak{m}}$ (and $\bar{\eta}_{k,\mathfrak{m}}$) the composition of ρ_k (resp. $\bar{\rho}_k$) with the projection to the factor $R_{a,\infty,\mathfrak{m}}$.

Remark 11. Consider the restriction of $\varepsilon_{p,a}$ to $\text{Gal}(\mathbb{Q}(\mu_a)_\infty/\mathbb{Q}) \cong \text{Gal}(\mathbb{Q}(\mu_a)_\infty/\mathbb{Q}(\mu_a)) \times \text{Gal}(\mathbb{Q}(\mu_a)/\mathbb{Q})$ and defines an isomorphism between $\text{Gal}(\mathbb{Q}(\mu_a)_\infty/\mathbb{Q}(\mu_a))$ to Γ_a , this isomorphism is named κ when $a = 1$, and κ_a in general.

We choose once and for all a topological generator γ_a of $\text{Gal}(\mathbb{Q}(\mu_a)_\infty/\mathbb{Q}(\mu_a))$ such that $\kappa_a(\gamma_a) = u$ a fix topological generator of Γ_a , and in particular $\alpha(u) = \kappa(\gamma) = \kappa_a(\gamma_a)$.

In this setting we have the ‘‘Tate’’ twist automorphism,

$$\tau : R_{a,\infty} \rightarrow R_{a,\infty}, [g] \mapsto \alpha(g)[g],$$

which clearly satisfies

$$\tau^{-1}\rho_k = \rho_{k+1}.$$

Remark 12. Consider M a $R_{a,\infty}$ -module and a $\text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q})$ -module such that its Galois action is obtained from $R_{a,\infty}$ by composition with a homomorphism $h : \mathbb{Z}_p[[\text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q})]] \rightarrow R_{a,\infty}$. Consider the Tate-twisted module $M(1) := M \otimes_{\mathbb{Z}_p} \mu_{p^\infty}$ with $R_{a,\infty}$ -structure by action on the first module, then its Galois-module action is given via τh .

Definition 13. Let M be a $R_{a,\infty,\mathfrak{m}}$ -module with a commuting action of $\text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q})$. We say that M is a $\bar{\eta}_{-1}$ -yoked bimodule (or it admits a yoked bimodule structure) if its $\text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q})$ -structure is obtained from its $R_{a,\infty,\mathfrak{m}}$ -structure via the homomorphism $\bar{\eta}_{-1,\mathfrak{m}}$.

Let us emphasize that $\bar{\eta}_{-1,\mathfrak{m}}$ is a surjective morphism characterized by the formula

$$\bar{\eta}_{-1,\mathfrak{m}}(\text{Frob}_\ell) = \ell^{-1}[\ell]^{-1}$$

where ℓ is any prime coprime with ap , and Frob_ℓ any Frobenius element in $\text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q})$ associated to ℓ , and $[\ell]$ refers the image in $R_{a,\infty,\mathfrak{m}}$ of $[\ell] \in \mathbb{Z}_p[[\mathbb{Z}_{p,a}^*]]$.

Definition 14. Consider an algebraic p -abelian extension L/K . We say that L/K is of type \mathfrak{m} if the kernel of

$$\bar{\eta}_{-1} : \mathbb{Z}_p[[\text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q})]] \twoheadrightarrow R_{a,\infty,\mathfrak{m}}$$

annihilates the module $\text{Gal}(L/K)$ viewed as a $\mathbb{Z}_p[[\text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q})]]$ -module via conjugation.

Let us consider a component \mathfrak{m} . Set S be the set of Dirichlet characters of conductor dividing ap belonging to \mathfrak{m} , and always assume that it is an even component. Let $F_{\mathfrak{m}}^+$ be the finite abelian extension of \mathbb{Q} characterized by

$$\text{Gal}(\bar{\mathbb{Q}}/F_{\mathfrak{m}}^+) = \cap_{\chi \in S} \ker(\chi).$$

Definition 15. A finite extension L/K is said to be **everywhere** unramified if there exist algebraic number fields of finite degree $K' \subset K$ and $L' \subset L$ containing K' such that $L = L'K$ and L'/K' is an unramified extension.¹ In general L/K is said **everywhere** unramified if it is a union of finite **everywhere** unramified extensions.

Define by $K_{\mathfrak{m},\infty} := \mathbb{Q}_\infty F_{\mathfrak{m}}^+(\zeta_p)$, and let $L_{\mathfrak{m},\infty}$ the maximal **everywhere** unramified abelian extension of $K_{\mathfrak{m},\infty}$ of type \mathfrak{m} and denote by

$$H_{\mathfrak{m}} := \text{Gal}(L_{\mathfrak{m},\infty}/K_{\mathfrak{m},\infty}).$$

Consider a character ψ of \mathfrak{m} of conductor dividing ap . Denote by $H_{\mathfrak{m},\psi}$ the $\mathcal{O}_\psi[[T]]$ -module obtained from $H_{\mathfrak{m}}$ via the change of scalars:

$$R_{a,\infty,\mathfrak{m}} = R_{a,1,\mathfrak{m}}[[\Gamma_a]] \rightarrow \mathcal{O}_\psi[[\Gamma_a]] \xrightarrow{\sigma_u} \mathcal{O}_\psi[[T]]$$

where the first map is the natural one for the Dirichlet character, and the second one maps a topological generator u of Γ_a to $(1+T)$.

Mazur and Wiles claims the obviousness of the following statement

¹It seems that in the definition on Wiles-Mazur is unclear the use of the term everywhere unramified

Proposition 16 (Mazur-Wiles). *The natural map $H_\infty \rightarrow H_{\mathfrak{m}}$ induces an isomorphism of $\mathcal{O}_{(\psi\omega)^{-1}}$ -modules*

$$\beta : H_{\infty,(\psi\omega)^{-1}}(F_{\mathfrak{m}}^+(\zeta_p)) \cong H_{\mathfrak{m},\psi}$$

where

$$u\beta((1+T)x) = (1+T)^{-1}\beta(x)$$

for $x \in H_{\infty,(\psi\omega)^{-1}}$, where $u \in \mathbb{Z}_p^*$ meaning $\kappa(\gamma)$.

Mazur-Wiles will work on quotients of $H_{\infty,(\psi\omega)^{-1}}$ in order to prove IMC when \mathfrak{m} is a primitive component.

For pseudo-primitive components Mazur-Wiles introduce a new module $H_{\mathfrak{m}}^b := \text{Gal}(L_{\mathfrak{m},\infty}^b/K_{\mathfrak{m},\infty})$ where $L_{\mathfrak{m},\infty}^b$ is the maximal virtually unramified extension of $K_{\mathfrak{m},\infty}$ of type \mathfrak{m} , where an abelian extension is called virtually unramified of type \mathfrak{m} if it is of type \mathfrak{m} and it is unramified except possibly at primes of residual characteristic dividing a .

Proposition 17. *Let \mathfrak{m} be a primitive or pseudo-primitive component and ψ a character belonging to \mathfrak{m} such that a divides the conductor of ψ . Then, the natural surjection*

$$H_{\mathfrak{m},\psi}^b \twoheadrightarrow H_{\mathfrak{m},\psi}$$

has finite kernel (which is zero if \mathfrak{m} is primitive).

In particular the characteristic ideal of $H_{\mathfrak{m},\psi}^b$ coincides with the characteristic ideal of $H_{\mathfrak{m},\psi}$.

4. STICKELBERGER ELEMENTS AND STICKELBERGER IDEALS: p -ADIC L -FUNCTIONS Á LA IWASAWA

Denote by $\langle x \rangle$ the real number $\equiv x \pmod{\mathbb{Z}}$ with $0 \leq \langle x \rangle < 1$. The k -th Stickelberger element is defined by:

$$\vartheta_k(b, N) := (N^{k-1}/k) \sum_{t=1, \gcd(t, N)=1}^N B_k(\langle bt/N \rangle) [t]^{-1} \in \mathbb{Q}[(\mathbb{Z}/N)^*]$$

for any positive integer N and any integer b . As usual in group rings, denote:

$$\hat{\vartheta}_k(b, N) := (N^{k-1}/k) \sum_{t=1, \gcd(t, N)=1}^N B_k(\langle bt/N \rangle) [t] \in \mathbb{Q}[(\mathbb{Z}/N)^*].$$

The k -th Stickelberger ideal is defined via:

$$S_k(N) := \mathbb{Z}[(\mathbb{Z}/N)^*] \cap \sum_{b \in \mathbb{Z}} \vartheta_k(b; N) \mathbb{Z}[(\mathbb{Z}/N)^*],$$

$$S_k(N)' := \mathbb{Z}[(\mathbb{Z}/N)^*] \cap \sum_{b \in \mathbb{Z}, \gcd(b, p)=1} \vartheta_k(b; N) \mathbb{Z}[(\mathbb{Z}/N)^*],$$

and similarly $\hat{S}_k(N)$ and $\hat{S}_k(N)'$.

It is known that

$$\vartheta_{k,c}(b; N) := (1 - c^k [c]^{-1}) \vartheta_k(b; N) \in \mathbb{Z}[(\mathbb{Z}/N)^*].$$

Let us get back to $R_{a,\infty}$, and take $N = ap^n$. We can define

$$\vartheta_{k,c}(b; ap^\infty) := \varinjlim_n \vartheta_{k,c}(b; ap^n) \in R_{a,\infty}$$

because in $R_{a,n+1} \rightarrow R_{a,n}$ we have $\vartheta_{k,c}(b; ap^{n+1}) \mapsto \vartheta_{k,c}(b; ap^n)$.

We have the relation via the twist automorphism: $\vartheta_{k,c}(1; ap^\infty) = \tau \vartheta_{k+1,c}(1; ap^\infty)$.

Now we follow Iwasawa for the construction of the p -adic L -function through k -th Stickelberger elements.

Recall that χ is a Dirichlet character of first kind of conductor dividing ap . Define by

$$G_{p,k,c}(\chi, T) \in \mathcal{O}_\chi[[T]] := \alpha_\chi(\vartheta_{k,c}(1; ap^\infty)), \text{ where}$$

$$\alpha_\chi : R_{a,\infty} = R_{a,1}[[\Gamma_a]] \rightarrow \mathcal{O}_\chi[[\Gamma_a]] \xrightarrow{\sigma_u} \mathcal{O}_\chi[[T]]$$

is the composition of the map taking into account $\chi : (\mathbb{Z}/ap)^* \rightarrow \mathcal{O}_\chi^*$ and σ_u defined by $[u] \mapsto 1 + T$. Recall that u is a fixed topological generator of Γ_a chosen so that $\alpha(u) = \kappa(\gamma) \in \mathbb{Z}_p^*$, and we identify in all this lecture $\Gamma_a \cong \Gamma_1$ via $u \mapsto \gamma$ and by abuse in notation, $u \in \mathbb{Z}_p^*$ represents the element $\alpha(u)$.

If $\chi\omega^{-k}$ is not of p -power order, then $(1 - c^k [c]^{-1})$ defines a unit power series $u_{p,k,c} \in \mathcal{O}_\chi[[T]]$, and under this hypothesis one defines the k -th Stickelberger power series

$$G_{p,k}(\chi, T) := G_{p,k,c}(\chi, T)/u_{p,k,c} \in \mathcal{O}_\chi[[T]]$$

which is independent of c .

Theorem 18 (Iwasawa). *Let χ be a Dirichlet character of first kind. Then*

$$L_p(\chi, s) = G_p(\chi, \kappa(\gamma)^s - 1), \forall s \in \mathbb{Z}_p$$

where $G_p(\chi, T) = -G_{p,1}(\chi^{-1}\omega, T)$.

From the commutativity of the diagram:

$$\begin{array}{ccccc} R_{a,\infty} & \xrightarrow{\alpha_\chi} & \mathcal{O}_\chi[[T]] & & T \\ \tau^{-1} \downarrow & & \downarrow & & \downarrow \\ R_{a,\infty} & \xrightarrow{\alpha_{\chi\omega}} & \mathcal{O}_\chi[[T]] & & u^{-1}(1+T) - 1 \end{array}$$

we obtain,

$$G_p(\chi, T) = -G_{p,k}(\chi^{-1}\omega^k, u^{k-1}(1+T) - 1),$$

where u in the last two statements means the element $\kappa(\gamma) \in \mathbb{Z}_p^*$.

For later convenience in the seminar let us study the generators of the 2-th Stickelberger ideals in $R_{a,n,m}$.

Denote by $\vartheta_{2,m}(b; ap^n)$ the image of $\vartheta_2(b; ap^n)$ in $R_{a,n,m} \otimes \mathbb{Q}_p$. If ω^2 does not belong to \mathfrak{m} , there exists c such that $(1 - c^2[c]^{-1})$ projects to a unit in $R_{a,n,m}$, therefore

$$\vartheta_{2,m}(b; ap^n) \in R_{a,n,m}.$$

Denote by $S_2(ap^n)_{\mathfrak{m}}$ the ideal in $R_{a,n,m}$ generated by the images of $S_2(ap^n)$ in $R_{a,n,m}$. Similar definitions for $S_2(ap^n)'_{\mathfrak{m}}$, $\hat{S}_2(ap^n)_{\mathfrak{m}}$ and $\hat{S}_2(ap^n)'_{\mathfrak{m}}$.

Proposition 19. *Let \mathfrak{m} be a component.*

- (1) *If \mathfrak{m} is pseudo-primitive and not associated to ω^2 or the trivial character, then $S_2(ap^n)'_{\mathfrak{m}}$ is generated by $\vartheta_{2,m}(d; ap^n)$ where d runs through those divisors of r where ap/r is the reduced conductor.*
- (2) *If \mathfrak{m} is pseudo-primitive and not associated to ω^{-2} or the trivial character, then $\hat{S}_2(ap^n)'_{\mathfrak{m}}$ is generated by $\hat{\vartheta}_{2,m}(d; ap^n)$ where d runs through those divisors of r where ap/r is the reduced conductor.*
- (3) *If \mathfrak{m} is a-primitive and not associated to ω^2 or the trivial character, then $S_2(ap^n)'_{\mathfrak{m}}$ is principal ideal generated by $\vartheta_{2,m}(1; ap^n)$ (the principal Stickelberger element).*

There are also results for the remaining pseudo-primitive \mathfrak{m} that coincide with $\omega^{\pm 2}$ or trivial character but we do not reproduce them here.

Finally, we show the relation between 2-th Stickelberger ideal and the p -adic L -function à la Iwasawa.

Proposition 20. *Let χ be a non-trivial even-character of conductor a or ap and $\chi \neq \omega^{-2}$. If $a = 1$, then*

$$\alpha_{\mathfrak{m},\chi}(\hat{S}_2(ap^\infty)'_{\mathfrak{m}}) = G_{p,2}(\chi^{-1}, (1+T)^{-1} - 1)$$

where recall that $\alpha_{\mathfrak{m},\chi} : R_{a,\infty,\mathfrak{m}} \rightarrow \mathcal{O}_\chi[[T]]$ follows from α_χ with the projection $R_{a,\infty} \rightarrow R_{a,\infty,\mathfrak{m}}$.

5. THE IMC FOLLOWS FROM A INCLUSION ON FITTING IDEALS

Consider $G_p(\psi\omega^2, T)$, which is not a unit power series for any ψ character associated to the pseudo-primitive component \mathfrak{m} , (this allows Mazur-Wiles to suppose different restrictions, for example, when $a = 1$, they assume that \mathfrak{m} does not contain as basic character ω^{-2} or the trivial character).

The big work of Mazur and Wiles is to construct an ideal $\mathfrak{b}_{n,\mathfrak{m}} \subseteq R_{a,n,\mathfrak{m}}$ and a virtually unramified extension of type $\mathfrak{m} L_{\mathfrak{m}}^{(n)}/K_{\mathfrak{m}}$ such that $\text{Gal}(L_{\mathfrak{m}}^{(n)}/K_{\mathfrak{m}})$ is an $R_{a,\infty,\mathfrak{m}}$ -module which satisfies the following properties:

- we have a relation that in the simplest case of \mathfrak{m} primitive reads as:

$$(1 - l[l])^k \mathfrak{b}_{\mathfrak{m}}^{(n)} \subseteq \hat{S}_2(ap^n)'_{\mathfrak{m}},$$

where l and k are technical elements, see the precise definition in [4, Chp 4§3, Chp5§5], for example $k = 0$ if $\psi = \psi'_p \omega^k$ and $k \not\equiv -1 \pmod{p-1}$, (moreover, in that situation we have that $\mathfrak{b}_{\mathfrak{m}}^{(n)} = \hat{S}_2(ap^n)'_{\mathfrak{m}}$ which is principal generated by the principal Stickelberger element $\hat{\vartheta}_2(ap^n)_{\mathfrak{m}}$).

We define

$$\mathfrak{b}_{\mathfrak{m}}^{(\infty)} = \varinjlim_n \mathfrak{b}_{\mathfrak{m}}^{(n)} \subseteq R_{a,\infty,\mathfrak{m}}$$

through the natural maps $R_{a,n+1,\mathfrak{m}} \rightarrow R_{a,n,\mathfrak{m}}$.

Thus, in the simplest case we have the inclusion

$$(1 - l[l])^k \mathfrak{b}_{\mathfrak{m}}^{(\infty)} \subseteq \hat{S}_2(ap^\infty)'_{\mathfrak{m}}$$

Now, applying the result of Proposition 20 we obtain:

$$(1) \quad (1 - l\psi(l)[l])^k \alpha_{\mathfrak{m},\psi}(\mathfrak{b}_{\mathfrak{m}}^{(\infty)}) \subseteq (G_{p,2}(\psi^{-1}, (1+T)^{-1} - 1)).$$

- we have a ideal $\mathfrak{U}_m \subset R_{a,\infty,m}$ of finite index (independent of n) such that:

$$\mathfrak{U}_m \text{Fitt}_{R_{a,\infty,m}}(\text{Gal}(L_m^{(n)}/K_m)) \subseteq \mathfrak{b}_m^{(\infty)}$$

Now, the projective limit of Fitting ideals not always gets the Fitting ideal, but in complete local noetherian rings does ([4, Appendix (10)] or [3]), thus:

$$(2) \quad \mathfrak{U}_m \text{Fitt}_{R_{a,\infty,m}}(H_m^b) \subseteq \mathfrak{b}_m^{(\infty)}.$$

And in order to get back to characteristic ideals and because H_m is pseudo-isomorphic to H_m^b (we have epimorphism between them with finite kernel) applying Lemma 5 (or [4, cor. prop.2 Appendix]):

$$(3) \quad \mathfrak{U}'_m \text{char}_{\mathcal{O}_\psi[[T]]}(H_{m,\psi}) \subseteq \alpha_{m,\psi}(\mathfrak{b}_m^{(\infty)})$$

where $\mathfrak{U}'_m \subseteq R_{a,\infty,m}$ an ideal of finite index.

Now combining equations (1) and (3):

$$(4) \quad (1 - l\psi(l)[l])^k \text{char}_{\mathcal{O}_\psi[[T]]}(H_{m,\psi}) \subseteq (G_{p,2}(\psi^{-1}, (1+T)^{-1} - 1)).$$

Now take $\psi := \chi\omega^{-2}$. Now by Proposition 16 one deduces

$$\text{Char}_{\mathcal{O}_\psi[[T]]}(H_{\infty,\hat{\chi}=\omega\chi^{-1}}) = (h_{m,\psi}(u^{-1}(1+T)^{-1} - 1))$$

where $\text{Char}_{R_{a,\infty,m}}(H_{\infty,\psi}) = (h_{m,\psi}(T))$, generated by the corresponding distinguished polynomial (we are with μ -invariant zero), and therefore we read equation (4) as follows:

$$(1 - \psi(l)[l](u^{-1}(1+T)^{-1} - 1))^k \text{Char}_{\mathcal{O}_\psi[[T]]}(H_{\infty,\hat{\chi}}) \subseteq (G_{p,2}(\psi^{-1} = \omega^2\chi^{-1}, u(1+T) - 1)) = (G_p(\chi, T)).$$

For the simplest case $k = 0$ we have an inclusion, (and in the general statement need analysis to the zeroes of $(1 - \psi(l)[l](u^{-1}(1+T)^{-1} - 1))$ and similar factors.

This allows to prove that

$$G_p(\chi, T) \text{ divides } h_p(\hat{\chi}, T),$$

where $h_p(\hat{\chi}, T)$ is the distinguished polynomial such that $\text{Char}_{R_{a,\infty,m}}(H_{\infty,\hat{\chi}}) = (h_p(\hat{\chi}, T))$, (for this one use that in $\mathcal{O}_\chi[[T]]$ one have that if $\mathfrak{a}(f) \subset (g)$ with $f, g \in \mathcal{O}_\chi[[T]]$ and \mathfrak{a} an ideal of $\mathcal{O}_\chi[[T]]$ of finite index then g divides f , see [4, Lemma3, Appendix]).²

The other divisibility follows for the analytic class number formula proving the IMC.

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²Recall that the Fitting ideal is not always principal, and we need to replace it by the characteristic ideal in order to use the above Lemma 3 in the appendix of Mazur-Wiles