

On sharply k -transitive Galois extensions

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STNB (UB-UAB-UPC)
January 2007

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$$F_1, \dots, F_n \in \mathbb{Q}[x_1, \dots, x_k] \text{ with } \alpha_s = F_s(\alpha_{i_1}, \dots, \alpha_{i_k})$$

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In this talk, we will focus on $\text{Gal}(f)$ being **sharply k -transitive**.

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G is sharply k -transitive if the above g is unique.

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- ▶ if $k = 2$, then G is similar to $\mathbb{A}^1(\mathcal{F})$, where \mathcal{F} is a nearfield.

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Dickson nearfields + seven exotic nearfields.

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$n = 1$ ordinary case $(\mathbb{F}_q, +, \cdot)$; $n > 1$ twisted case $\mathcal{F} = (\mathbb{F}_{q^n}, +, \circ)$.

The ideal I_k

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For $k \geq 1$, consider the ideal

$$I_k = (f_1(x_1), f_2(x_1, x_2), \dots, f_k(x_1, x_2, \dots, x_k)) \subset \mathbb{Q}[x_1, \dots, x_k]$$

according to the recurrence:

$$f_1(x_1) = f(x_1),$$

$$f_2(x_1, x_2) = (f(x_2) - f(x_1))/(x_2 - x_1),$$

$$f_i(x_1, \dots, x_i) = (f_{i-1}(x_1, \dots, x_{i-2}, x_i) - f_{i-1}(x_1, \dots, x_{i-2}, x_{i-1}))/ (x_i - x_{i-1}).$$

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The $\{f_i\}$ form a Gröbner basis for I_k wrt: $x_k > \dots > x_2 > x_1$.

A criterium

Theorem

Let $k \geq 1$. The Galois group $\text{Gal}(f)$ is sharply k -transitive if and only if there is a “unique” family of polynomials

$$F_1, \dots, F_n \in \mathbb{Q}[x_1, \dots, x_k]$$

with

$$\Omega = \{F_i(\beta)\}_{i=1}^n$$

for every $\beta = (\beta_1, \dots, \beta_k)$ proper k -tuple of roots of f .

“unique”: modulo the ideal I_k .

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By using the interpolating polynomials

$$\Gamma_i(x_1, \dots, x_k) = \sum_{\sigma \in \text{Gal}(f)} \sigma(\alpha_i) \frac{f(x_1)}{x_1 - \sigma(\alpha_1)} \frac{f(x_2)}{x_2 - \sigma(\alpha_2)} \cdots \frac{f(x_k)}{x_k - \sigma(\alpha_k)}$$

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Proposition

Let $h(x)$ and $r(x) \in \mathbb{Q}[x]$ such that $r(x)f(x) + h(x)f'(x) = 1$.
Then, the polynomials F_i can be chosen as

$$F_i(x_1, \dots, x_k) = h(x_1) \cdots h(x_k) \Gamma_i(x_1, \dots, x_k).$$

ℓ -adic strategy

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- ▶ Step 4. Reconstruct $F_i \in \mathbb{Q}[x_1, \dots, x_k]$ (standard techniques)

Bounding the remainder

Theorem

If $\text{Gal}(f)$ is sharply k -transitive, then there is a unique family F_1, \dots, F_n supplying all the roots of f with

$$F_i(x_1, \dots, x_k) = \frac{1}{\delta(f)^k} \sum_{0 \leq i_k < \dots < i_1 < n} a_{i_1, \dots, i_k} x_1^{i_1} \dots x_k^{i_k},$$

where $a_{i_1, \dots, i_k} \in \mathbb{Z}$ and $|a_{i_1, \dots, i_k}| \leq C(h)^k C(\Gamma_i) \prod_{j=1}^k (1 + M_j)^{n-2+j}$.

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$C(h) := \max\{\text{coeffs}(h)\}$, $C(\Gamma_i) := |\text{Gal}(f)| C(f)^{1+(n-1)k} \binom{n}{\lfloor n/2 \rfloor}^k$
 $C(f) := \max\{|\alpha_i|\}$, $M_i := \max\{|\text{coeffs}(f_i)|\}$, $(\delta_f) \subseteq (f, f') \subseteq \mathbb{Z}[x]$

ℓ -adic liftings

Set $\gamma = (n - 1, n - 2, \dots, n - k + 1)$. For $H \in \mathbb{Q}[x_1, \dots, x_k]$, let $\deg(H) < \gamma$ denote $\deg_{x_j}(H) \leq n - j$.

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Also set $\mathbf{x} = (x_1, \dots, x_k)$ and $I_k \otimes A := (f_1, \dots, f_k)A[\mathbf{x}]$.

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Proposition

Assume that $H_0 \in \mathbb{Z}[\mathbf{x}]$ has $\deg(H_0) < \gamma$ and satisfies

$$f(H_0(\mathbf{x})) \equiv 0 \pmod{I_k \otimes \mathbb{F}_\ell}.$$

Then, there exists a unique $H \in \mathbb{Z}_\ell[\mathbf{x}]$ such that

- (i) $\deg(H) < \gamma$;
- (ii) $H(\mathbf{x}) \equiv H_0(\mathbf{x}) \pmod{\ell \mathbb{Z}[\mathbf{x}]}$;
- (iii) $f(H(\mathbf{x})) \equiv 0 \pmod{I_k \otimes \mathbb{Z}_\ell}$.

Sketch of proof

The following is a Cauchy sequence in the ℓ -adic metric:

$$\{H_i(\mathbf{x})\}_{i \geq 0}$$

where H_i is the remainder of the polynomial division of

$$H_{i-1}(\mathbf{x}) - h_{i-1}(H_{i-1}(\mathbf{x}))f(H_{i-1}(\mathbf{x}))$$

by the Gröbner basis $\{f_1(x_1), f_2(x_1, x_2), \dots, f_k(x_1, \dots, x_k)\}$. Here, $h_i \in \mathbb{Z}[x]$ such that $h_i(x)f'(x) \equiv 1 \pmod{\ell^{2^i}, f(x)}\mathbb{Z}[x]$.

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- (i) $\deg(H_i) < \gamma$;
- (ii) $f(H_i(\mathbf{x})) \equiv 0 \pmod{(l^{2^i}, f_1, \dots, f_k)\mathbb{Z}[\mathbf{x}]}$;
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If we know the reduction $\tilde{F}_i \in \mathbb{F}_\ell[x_1, \dots, x_k]$, we can compute $F_i \in \mathbb{Q}_\ell[x_1, \dots, x_k]$ up to an arbitrary high accuracy.

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Since we have a bound for the coefficients of $F_i \in \mathbb{Q}[x_1, \dots, x_k]$, we can reconstruct the F_i .

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It remains to compute the reduction $\tilde{F}_i \in \mathbb{F}_\ell[x_1, \dots, x_k]$

$k \geq 2$: Admissible bijections

There is a bijection $\iota: X \rightarrow \Omega$ and an isomorphism φ rendering the diagram

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 \text{Sym}(X) & \xrightarrow{\varphi_\iota} & \text{Sym}(\Omega) \\
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commutative, where $\varphi_\iota(\sigma) = \iota\sigma\iota^{-1}$ for $\sigma \in \text{Sym}(X)$.

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$$\varphi_\iota(G) = \varphi_{\iota'}(G) \text{ iff } \iota^{-1}\iota' \in N_{\text{Sym}(X)}(G).$$

Admissible bijections: $\varphi_\iota(G) = \text{Gal}(f)$.

Strategy

Denote $X = \{\xi_1, \dots, \xi_k, \xi_{k+1}, \dots, \xi_n\}$. For $k+1 \leq j \leq n$,

$$\mathcal{G}_j(x_1, \dots, x_k) = \sum_{g \in G} a[g(\xi_j)] \frac{\prod_{\xi \in X} (x_1 - a[\xi])}{x_1 - a[g(\xi_1)]} \cdots \frac{\prod_{\xi \in X} (x_k - a[\xi])}{x_k - a[g(\xi_k)]},$$

with coefficients in \mathbb{Z} , variables $a[\xi_i]$'s and x_1, \dots, x_k .

An admissible bijection ι transforms \mathcal{G}_j into

$$\Gamma_j(x_1, \dots, x_k) = \sum_{\sigma \in \text{Gal}(f)} \sigma(\gamma_j) \frac{f(x_1)}{x_1 - \sigma(\gamma_1)} \cdots \frac{f(x_k)}{x_k - \sigma(\gamma_k)},$$

where $\gamma_s = \iota(\xi_s)$, and $F_j(x_1, \dots, x_k) = h(x_1) \cdots h(x_k) \Gamma_j(x_1, \dots, x_k)$ satisfies $\gamma_j = F_j(\gamma_1, \dots, \gamma_k)$.

Fix a Fröbenius automorphism, call it τ

Let $\mathfrak{L}|\ell$ be a prime ideal of \mathbb{Q}_f with $\ell \nmid \Delta(f)$.

To the factorization

$$f(x) \equiv \varphi_1(x) \dots \varphi_r(x) \pmod{\ell}$$

corresponds a Fröbenius automorphism $\tau \in \text{Gal}(f)$ such that

$$\tau = (\alpha_{11}, \dots, \alpha_{1 \deg \varphi_1}) \dots (\alpha_{r1}, \dots, \alpha_{r \deg \varphi_r}) \in \text{Sym}(\Omega)$$

with $\alpha_{i t+1} \equiv \alpha_{i1}^{\ell^t} \pmod{\mathfrak{L}}$, for $0 \leq t < \deg(\varphi_i)$ and $1 \leq i \leq r$.

$$[\tau] := \text{cycle type of } \tau = [\deg \varphi_1, \dots, \deg \varphi_r].$$

Admissible bijections adapted to τ

Let $\mathcal{I}_\tau = \{ \text{bijections } \iota : X \rightarrow \Omega : \iota \sigma \iota^{-1} = \tau \text{ for some } \sigma \in G \}$,

Lemma

Let $i, i' \in \mathcal{I}_\tau$. Let us denote $\sigma = i^{-1} \tau i$, $\sigma' = i'^{-1} \tau i' \in G$.

(i) If $i \equiv i' \pmod{N_{\text{Sym}(X)}(G)}$, then

σ and σ' are $N_{\text{Sym}(X)}(G)$ -conjugate.

(ii) If σ and σ' are $N_{\text{Sym}(X)}(G)$ -conjugate,

then $i' \in i C(\sigma) N_{\text{Sym}(X)}(G)$.

Here, $C(\sigma)$ denotes the centralizer of σ in $\text{Sym}(X)$.

Test

Remember

$$\tau = (\alpha_{11}, \dots, \alpha_{1 \deg \varphi_1}) \cdots (\alpha_{r1}, \dots, \alpha_{r \deg \varphi_r}) \in \text{Sym}(\Omega).$$

For each conjugacy class \mathcal{C} in $N_{\text{Sym}(X)}(G)$ of elements of G with cycle type $[\deg(\varphi_1), \dots, \deg(\varphi_r)]$, we choose a representative

$$\sigma_{\mathcal{C}} = (\xi_{11}, \dots, \xi_{1 \deg \varphi_1}) \cdots (\xi_{r1}, \dots, \xi_{r \deg \varphi_r}) \in G.$$

Then, for all coherent matches ξ_{t1} with α_{s1} , check whether the reduction of \mathcal{G}_j replacing $a[\xi_{t u+1}]$ by $\alpha_{s1}^{\ell u}$ modulo $\varphi_s(\alpha_{s1})$ and mod ℓ belongs to $\mathbb{F}_{\ell}[x_1, \dots, x_k]$.

Number of tests

Proposition

Fix $\tau \in \text{Gal}(f)$ as above. The number of tests necessary to find one of the $F \bmod \ell$ is less or equal to

$$M(\tau) := \frac{n_\tau}{d_1} \prod_{d \in D} d^{n_d} n_d!,$$

$n_\tau = \#\{C \text{ in } N_{\text{Sym}(X)}(G) \text{ of elements of } G \text{ with cycle type } [\tau]\},$

$D = \{\text{distinct lengths in } [\tau]\},$

$n_d = \#\text{occurrences of length } d \text{ in } [\tau],$

$d_1 = \#C(\sigma) \cap N_{\text{Sym}(X)}(G), \text{ for any } \sigma \in \text{Sym}(X) \text{ with } [\sigma] = [\tau].$

$M(\tau)$ tests: Case sharply 2-transitive

$\deg(f)$	$\text{Gal}(f)$	$[\tau]$	$M(\tau)$
5	5T3	[5]	1
7	7T4	[7]	1
8	8T25	[1, 7]	2
9	9T14*	[1, 4, 4]	4
9	9T15	[1, 8]	2
11	11T4	[11]	1
	...		
23	23T4	[23]	1
25	25T41**	[1, 6, 6, 6, 6]	2592
25	25T45*	[1, 12, 12]	24
25	25T47	[1, 24]	4

$M(\tau)$ tests: Case sharply 3-transitive

$\deg(f)$	$\text{Gal}(f)$	$[\tau]$	$M(\tau)$
5	\mathcal{A}_5	[5]	1
6	6T14	[6]	1
8	8T43	[8]	2
9	9T27	[9]	1
10	10T30	[10]	1
10	10T31*	[8, 2]	2
12	12T218	[12]	2
14	14T39	[14]	3
17	17T6	[17]	2
20	20T362	[20]	4
24	24T10255	[24]	4

More general

► Ordinary s2t:

$$\text{Gal}(f) \sim \mathbb{A}^1(\mathbb{F}_q), [\tau] = [1, q-1], M(\tau) \leq \frac{\varphi(q-1)}{\nu}, q = p^\nu.$$

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► **Twisted s2t:** $\text{Gal}(f) \sim \mathbb{A}^1(\mathcal{F}), [\tau] = \left[1, \frac{q^n-1}{n}, \dots, \frac{q^n-1}{n}\right],$

$$M(\tau) \leq \phi((q^n-1)/n) \left(\frac{q^n-1}{n}\right)^{n-1} n!.$$

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$$\text{Gal}(f) \sim \text{PGL}_2(\mathbb{F}_q), [\tau] = [q+1], M(\tau) \leq \frac{\varphi(q+1)}{2\nu}.$$

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► **Mathieu group M_{12} :** $[\tau] = [1, 11]$ or $[2, 10], M(\tau) = 2.$

9T15

$$f = x^9 - 9x^7 - 21x^6 + 72x^5 + 99x^4 - 99x^3 - 585x^2 + 549x + 166.$$

$$\text{Gal}(f) = 9T15 \text{ similar to } \mathbb{A}^1(\mathbb{F}_9).$$

$$\Delta(f) = 2^8 3^{20} 17^7 2843^2, \text{ and } \delta(f) = 2^3 3^4 17^1 2843^2.$$

$$f \equiv (x+1)(x^8 + 4x^7 + 2x^6 + 2x^5 + 4x^3 + 2x^2 + 3x + 1) \pmod{5}.$$

Bound for the coefficients: $2.14675015918 \times 10^{73} \in [5^{26}, 5^{27}]$, ergo 7 iterations needed.

9T15 cont'd

$$\tilde{F}(x_1, x_2) = h(x_1)h(x_2)\tilde{\Gamma}(x_1, x_2),$$

$$h(x_1) = (2 + x_1)^4 (4 + x_1 + 2x_1^2 + 4x_1^3 + x_1^4) \pmod{5},$$

$$\tilde{\Gamma}(x_1, x_2) = \sum_{\sigma \in \text{Gal}(f)} \sigma(\alpha) \frac{f(x_1)}{x_1 - \sigma(\beta)} \frac{f(x_2)}{x_2 - \sigma(\gamma)}$$

where α , β , and γ are three roots of $f \pmod{5}$.

Let ω be a generator of \mathbb{F}_9^* . Consider the polynomial

$$\mathcal{G}(x_1, x_2) = \sum_{\sigma \in \mathbb{A}^1(\mathbb{F}_9)} a[\sigma(\omega)] \frac{\prod_{\xi \in \mathbb{F}_9} (x_1 - a[\xi])}{x_1 - a[\sigma(1)]} \frac{\prod_{\xi \in \mathbb{F}_9} (x_2 - a[\xi])}{x_2 - a[\sigma(0)]}.$$

A Fröbenius $\tau \in \text{Gal}(f)$ at 5 is

$$(\alpha_0)(\alpha_1, \alpha_\omega, \dots, \alpha_{\omega^7})$$

with $\alpha_0 \equiv -1$ and $\alpha_{\omega^i} \equiv \alpha_1^{5^i}$ modulo a prime ideal over 5.

Here, α_1 is a root of $x^8 + 4x^7 + 2x^6 + 2x^5 + 4x^3 + 2x^2 + 3x + 1$ mod 5.

$$\sigma_{m,n}: x \mapsto mx + n \in \mathbb{A}^1(\mathbb{F}_9)$$

There are two conjugacy classes in $N_{\text{Sym}(\mathbb{F}_9)}(\mathbb{A}^1(\mathbb{F}_9))$ of elements in $\mathbb{A}^1(\mathbb{F}_9)$ with cycle type $[1, 8]$:

$$\sigma_{\omega,0}: x \mapsto \omega x, \text{ and } \sigma_{\omega^5,0}: x \mapsto \omega^5 x.$$

An admissible $\iota: \mathbb{F}_9 \rightarrow \Omega$ must identify τ (up to conjugation) with either $\sigma_{\omega,0} = (0)(1, \omega, \dots, \omega^7)$ or $\sigma_{\omega^5,0}$.

After replacing $a[0]$ by -1 and $a[\omega^i]$ by $\alpha_1^{5^i}$ in $\mathcal{G}(x_1, x_2)$, one gets

$$\begin{aligned} \tilde{F}(x_1, x_2) = & 1 + 3x_1 + 2x_1^2 + 3x_1^3 + 4x_1^4 + 2x_1^6 + 4x_1^7 + 4x_1^8 + 4x_2 + 2x_1x_2 + \\ & x_1^3x_2 + 2x_1^4x_2 + 4x_1^5x_2 + x_1^6x_2 + 4x_1^7x_2 + 2x_1^8x_2 + 2x_2^2 + 3x_1x_2^2 + 4x_1^3x_2^2 + \\ & 4x_1^4x_2^2 + 4x_1^6x_2^2 + 3x_1^7x_2^2 + 3x_2^3 + 3x_1x_2^3 + 2x_1^2x_2^3 + 3x_1^3x_2^3 + 3x_1^4x_2^3 + \\ & x_1^5x_2^3 + x_1^6x_2^3 + 3x_1^7x_2^3 + x_1^8x_2^3 + 4x_2^4 + 2x_1x_2^4 + x_1^2x_2^4 + x_1^4x_2^4 + 2x_1^5x_2^4 + \\ & 2x_1^6x_2^4 + 3x_1^7x_2^4 + 2x_2^5 + 4x_1x_2^5 + 2x_1^2x_2^5 + 4x_1^3x_2^5 + 2x_1^5x_2^5 + 3x_1^6x_2^5 + \\ & 3x_1^8x_2^5 + 3x_2^6 + 3x_1x_2^6 + 3x_1^2x_2^6 + 4x_1^3x_2^6 + 4x_1^4x_2^6 + 3x_1^5x_2^6 + 4x_1^6x_2^6 + \\ & 2x_1^8x_2^6 + 4x_2^7 + 2x_1x_2^7 + x_1^2x_2^7 + 2x_1^4x_2^7 + 2x_1^7x_2^7 + 2x_1^8x_2^7 + 4x_2^8 + \\ & 2x_1x_2^8 + x_1^3x_2^8 + 3x_1^5x_2^8 + 2x_1^6x_2^8 + 2x_1^7x_2^8. \end{aligned}$$

$$\tilde{F}(x_1, x_2) \rightsquigarrow \tilde{F}(x_1, x_2) \pmod{5} \rightsquigarrow F(x_1, x_2) \text{ 5-adic} \rightsquigarrow F(x_1, x_2) \text{ rational.}$$

9T14

$$f = x^9 - 3x^8 + 12x^7 - 12x^6 + 12x^5 - 12x^4 + 12x^3 - 12x^2 + 9x - 3$$

$\text{Gal}(f) = 9\text{T}14$ similar to $\mathbb{A}^1(\mathcal{F})$,

$\mathcal{F} = (\mathbb{F}_9, +, \circ)$ is the twisted Dickson nearfield of 9 elements.

9T14 cont'd

$$\begin{aligned}
 F(x_1, x_2) = & \frac{1}{2904} \left(-552 + 1425 x_1 + 6879 x_1^2 - 411 x_1^3 - 4566 x_1^4 - 3804 x_1^5 \right. \\
 & + 4815 x_1^6 - 1512 x_1^7 + 474 x_1^8 + \left(-5586 + 16692 x_1 - 26283 x_1^2 + 11862 x_1^3 \right. \\
 & \left. - 5169 x_1^4 + 28059 x_1^5 - 24813 x_1^6 + 7155 x_1^7 - 2069 x_1^8 \right) x_2 + (5673 - 15171 x_1 \\
 & + 17661 x_1^2 - 3009 x_1^3 + 13083 x_1^4 - 19170 x_1^5 + 15291 x_1^6 - 4138 x_1^7 + 1140 x_1^8) x_2^2 \\
 & + \left(6348 - 12642 x_1 + 9249 x_1^2 - 14166 x_1^3 + 11424 x_1^4 - 507 x_1^5 + 16903 x_1^6 \right. \\
 & \left. - 3391 x_1^7 + 1842 x_1^8 \right) x_2^3 + \left(-1209 - 5184 x_1 + 20073 x_1^2 - 12582 x_1^3 + 5550 x_1^4 \right. \\
 & \left. - 12651 x_1^5 + 27111 x_1^6 - 6691 x_1^7 + 2711 x_1^8 \right) x_2^4 + \left(3480 + 1473 x_1 - 9039 x_1^2 \right. \\
 & \left. - 8847 x_1^3 + 10309 x_1^4 + 22172 x_1^5 + 16407 x_1^6 - 1860 x_1^7 + 2341 x_1^8 \right) x_2^5 + (-567 \\
 & - 1236 x_1 + 3741 x_1^2 + 582 x_1^3 - 1455 x_1^4 - 5879 x_1^5 - 1197 x_1^6 - 169 x_1^7 \\
 & - 272 x_1^8) x_2^6 + \left(291 + 183 x_1 - 637 x_1^2 - 1189 x_1^3 + 1152 x_1^4 + 2228 x_1^5 \right. \\
 & \left. + 2323 x_1^6 - 334 x_1^7 + 311 x_1^8 \right) x_2^7 \Big).
 \end{aligned}$$

The nine roots of f are represented by

$$\begin{array}{lll}
 x_1, & x_2, & F(x_1, x_2), \\
 F(x_2, x_1), & F(F(x_1, x_2), x_1), & F(F(x_2, x_1), x_2), \\
 F(x_2, F(x_1, x_2)), & F(x_1, F(x_2, x_1)), & F(x_1, F(x_1, x_2)).
 \end{array}$$

Further questions

- ▶ Are all sharply k -transitive groups realizable as Galois groups over \mathbb{Q} ?

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- ▶ Known applications of nearfields to cryptography?