

# A second derivative result for $p$ -adic $L$ -functions (after Bertolini–Darmon)

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Setting and motivation

Some preliminaries

Modular forms on quaternion algebras

Auxiliary  $p$ -adic  $L$ -functions

Heegner points

Proof of the main result

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- $E/\mathbb{Q}$  elliptic curve of conductor  $N = Mp$ ,  $p \nmid M$ .
- $E$  is modular  $\rightsquigarrow f = \sum a_n(f)q^n \in S_2(\Gamma_0(N))$  normalized eigenform.

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- $\mathcal{X} := \text{Hom}(\mathbb{Z}_p^\times, \mathbb{Z}_p^\times) \simeq \mathbb{Z}/(p-1)\mathbb{Z} \times \mathbb{Z}_p$ ,  $p$ -adic weight space.
- $\mathbb{Z} \hookrightarrow \mathcal{X}$ ,  $k \mapsto \{x \mapsto x^{k-2}\}$ .

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- $\mathbb{Z} \hookrightarrow \mathcal{X}$ ,  $k \mapsto \{x \mapsto x^{k-2}\}$ .

**Hida theory:**  $f \rightsquigarrow U \subset \mathcal{X}$  neighborhood of 2 and formal  $q$ -expansion

$$f_\infty = \sum_{n \geq 1} a_n q^n, \quad a_1 = 1, \quad a_n \in \mathcal{A}(U),$$

such that

- a) for all  $k \in U \cap \mathbb{Z}^{\geq 2}$ ,  $f_k = \sum a_n(k)q^n \in S_k(\Gamma_0(N))$  is a normalized *ordinary* eigenform;
- b)  $f_2 = f$ .

## $p$ -adic $L$ -functions: M–Sw–D and M–K

Attached to each  $f_k$  and the choice of a complex period  $\Omega_{f_k}$ , we have seen the Mazur–Swinnerton-Dyer  $p$ -adic  $L$ -function

$$L_p(f_k, s).$$

When varying  $k \in U \cap \mathbb{Z}^{\geq 2}$ , these can be packaged into the two-variable Mazur–Kitagawa  $p$ -adic  $L$ -function

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This function is analytic in a neighborhood of  $(2, 1)$ , and for each  $k \in U \cap \mathbb{Z}^{\geq 2}$  there is  $\lambda(k) \in \mathbb{C}_p$  such that

$$L_p(f_\infty; k, s) = \lambda(k)L_p(f_k, s)$$

and  $\lambda(2) = 1$ . In particular,  $L_p(f_\infty; 2, s) = L_p(f, s) = L_p(E, s)$ .



# Exceptional zeroes

There is a functional equation

$$L_p(f_\infty; k, s) \leftrightarrow L_p(f_\infty; k, k - s)$$

whose sign does **not** depend on  $k$ ,  $\text{sign}(f_\infty) = \pm 1$ .

Exceptional zero phenomenon: if  $p$  is of **split** multiplicative reduction,

$$\text{sign}(f_\infty) = -\text{sign}(E/\mathbb{Q}).$$

Exceptional zero conjecture:  $L'_p(f, 1) = \frac{\log(q)}{\text{ord}_p(q)} \frac{L(f, 1)}{\Omega_f}$ .

## Sign dichotomy

- $\text{sign}(E/\mathbb{Q}) = +1$  (i.e.  $\text{sign}(f_\infty) = -1$ ):  $L_p(f_\infty; k, s)|_{s=k/2} = 0$ , hence

$$\frac{\partial}{\partial s} L_p(f_\infty; 2, 1) = -2 \frac{\partial}{\partial k} L_p(f_\infty; 2, 1).$$

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Exploiting this and a factorization of  $L_p(f_\infty; k, s)|_{s=1}$ , Greenberg and Stevens show that

$$L'_p(f, 1) = -2a'_p(2) \frac{L(f, 1)}{\Omega_f},$$

and by studying the  $\Lambda$ -adic representation attached to  $f_\infty$ , that

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$$\Rightarrow L'_p(f, 1) = \frac{\log(q)}{\text{ord}_p(q)} \frac{L(f, 1)}{\Omega_f}$$

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$$\frac{\partial}{\partial s} L_p(f_\infty; 2, 1) = \frac{\partial}{\partial k} L_p(f_\infty; 2, 1) = 0,$$

hence  $\text{ord}_{(k,s)=(2,1)} L_p(f_\infty; k, s) \geq 2$ .

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What about the second derivative?

## A second derivative result

Let  $\log_E : E(\mathbb{Q}_p) \rightarrow \mathbb{G}_a(\mathbb{Q}_p)$  be the formal group logarithm,

$$\log_E(P) := \log_q(\Phi_{\text{Tate}}^{-1}(P)),$$

where  $\log_q : \mathbb{C}_p^\times \rightarrow \mathbb{C}_p$  (with  $\log_q(q) = 0$ ),  $\Phi_{\text{Tate}} : \mathbb{C}_p^\times \rightarrow E(\mathbb{C}_p)$ .

### Theorem (Bertolini–Darmon)

*Suppose  $E$  has split multiplicative reduction at  $p$  and has at least two primes of multiplicative reduction.*

1) *There is a global point  $\mathbf{P} \in E(\mathbb{Q}) \otimes \mathbb{Q}$  and a scalar  $C \in \mathbb{Q}^\times$  such that*

$$\frac{d^2}{dk^2} L_p(f_\infty; k, k/2)|_{k=2} = C \cdot \log_E(\mathbf{P})^2.$$

2) *The point  $\mathbf{P}$  is of infinite order if and only if  $L'(E, 1) \neq 0$ .*



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## Modular symbols

$\mathfrak{P}_k = \mathfrak{P}_k(\mathbb{C}_p) =$  degree  $k - 2$  homogeneous polynomials in  $X, Y$ .

$V_k = V_k(\mathbb{C}_p) = \text{Hom}_{\mathbb{C}_p}(\mathfrak{P}_k, \mathbb{C}_p)$  its  $\mathbb{C}_p$ -dual.

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A normalized cusp form  $g \in S_k(\Gamma_0(N))$  gives rise to a basic modular symbol  $\tilde{I}_g \in MS(V_k(\mathbb{C}))$ ,

$$\tilde{I}_g : \mathbb{P}_1(\mathbb{Q}_p) \times \mathbb{P}_1(\mathbb{Q}_p) \rightarrow V_k(\mathbb{C}), \quad \tilde{I}_g\{r \rightarrow s\}(P) = 2\pi i \int_r^s g(z)P(z, 1)dz.$$

Shimura: there exist complex periods  $\Omega_g^+, \Omega_g^-$  such that

$$I_g^+ := \frac{\tilde{I}_g^+}{\Omega_g^+}, \quad I_g^- := \frac{\tilde{I}_g^-}{\Omega_g^-} \in MS(V_k(K_g)).$$

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We fix  $I_g := I_g^{w_\infty}, \Omega_g := \Omega_g^{w_\infty}$  for some choice  $w_\infty \in \{\pm 1\}$ .

## Modular symbols and special values

Let  $j \in \mathbb{Z}$  be such that  $1 \leq j \leq k-1$ . For  $a, m \in \mathbb{Z}$ , put

$$I_g[j, a, m] := I_g\{\infty \rightarrow a/m\}((x - \frac{a}{m}y)^{j-1}y^{k-j-1}).$$

The values  $I_g[j, a, m]$  depend only on  $a$  modulo  $m$ , and they are related to special values of  $L$ -series: if  $\chi$  is a primitive Dirichlet character modulo  $m$  and  $\chi(-1) = (-1)^{j-1}w_\infty$ , then

$$L^*(g, \chi, j) := \frac{(j-1)! \tau(\chi)}{(-2\pi i)^{j-1} \Omega_g} L(g, \chi, j) \in K_g$$

and

$$L^*(g, \chi, j) = \sum_{a=1}^m \chi(a) I_g[j, a, m].$$

In particular, when  $(-1)^{j-1} = w_\infty$  we have  $L^*(g, j) = I_g[j, 1, 1]$ .

## Hida theory

$\Lambda := \mathbb{Z}_p[[1 + p\mathbb{Z}_p]] \subset \tilde{\Lambda} := \mathbb{Z}_p[[\mathbb{Z}_p^\times]]$  usual Iwasawa algebras.

View  $\tilde{\Lambda}$  as space of functions on weight space

$$\mathcal{X} := \text{Hom}_{\text{cont}}(\tilde{\Lambda}, \mathbb{Z}_p) = \text{Hom}_{\text{grp}}(\mathbb{Z}_p^\times, \mathbb{Z}_p^\times) \simeq \mathbb{Z}/(p-1)\mathbb{Z} \times \mathbb{Z}_p.$$

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The algebra homomorphism  $\eta_f : \mathbb{T}_\infty^{\text{ord}} \rightarrow \mathbb{Z}_p$

$$T_n \mapsto a_n(f), U_p \mapsto a_p(f)$$

gives rise to the Hida family passing through  $f$ :

$$f_\infty := \sum_{n \geq 1} a_n q^n, \quad a_n := \eta_{f_\infty}(T_n) \in \Lambda^\dagger.$$

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For  $k \in U \cap \mathbb{Z}^{\geq 2}$ ,  $f_k := \sum a_n(k) q^n \in S_k(\Gamma_0(N))$  and  $f_2 = f$ . But for  $k > 2$ ,  $f_k$  is not new: it is the ordinary  $p$ -stabilization of  $f_k^\sharp \in S_k(\Gamma_0(N/p))$ .



## Measure-valued modular symbols

$L_* := \mathbb{Z}_p^2 \subset \mathbb{Q}_p^2$  standard  $\mathbb{Z}_p$ -lattice,  $L'_*$  := subset of primitive vectors.  
The space of measures on  $L'_*$ ,

$$\mathbb{D}_* := \text{Hom}_{\text{cont}}(L'_*, \mathbb{C}_p)^\vee = \text{Hom}_{\text{cont}}(\text{Hom}_{\text{cont}}(L'_*, \mathbb{C}_p), \mathbb{C}_p),$$

has a left action of  $\text{GL}_2(\mathbb{Z}_p)$ , and a  $\tilde{\Lambda}$ -module structure induced by the action of  $\mathbb{Z}_p^\times$  on  $L'_*$ .

For every  $k \in \mathbb{Z}^{\geq 2}$  there is a  $\Gamma_0(p\mathbb{Z}_p)$ -equivariant homomorphism

$$\rho_k : \mathbb{D}_* \longrightarrow V_k$$

defined by the rule  $\rho_k(\mu)(P) := \int_{\mathbb{Z}_p \times \mathbb{Z}_p^\times} P(x, y) d\mu(x, y)$ , inducing

$$\rho_k : MS_{\Gamma_0(N)}(\mathbb{D}_*) \longrightarrow MS_{\Gamma_0(N)}(V_k) \quad (\text{specialization map}).$$

## Measure-valued modular symbols

$MS_{\Gamma_0(N)}(\mathbb{D}_*)$  has a Hecke action (incl.  $U_p$ ) compatible with maps  $\rho_k$ , and

$$MS_{\Gamma_0(N)}^{\text{ord}}(\mathbb{D}_*) := e_{\text{ord}} MS_{\Gamma_0(N)}(\mathbb{D}_*) \subset MS_{\Gamma_0(N)}(\mathbb{D}_*),$$
$$MS_{\Gamma_0(N)}^{\text{ord}}(\mathbb{D}_*)^\dagger := MS_{\Gamma_0(N)}^{\text{ord}}(\mathbb{D}_*) \otimes_\Lambda \Lambda^\dagger \subset MS_{\Gamma_0(N)}(\mathbb{D}_*^\dagger)$$

are free modules of finite rank over  $\Lambda$ , resp.  $\Lambda^\dagger$ .

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are free modules of finite rank over  $\Lambda$ , resp.  $\Lambda^\dagger$ .

### Theorem (Greenberg–Stevens)

*There is a neighborhood  $U$  of  $2 \in \mathcal{X}$  and a measure valued modular symbol  $\mu_* \in MS_{\Gamma_0(N)}^{\text{ord}}(\mathbb{D}_*)^\dagger$ , which is regular on  $U$ , such that*

- i)  $\rho_2(\mu_*) = I_f$ ,
- ii) *for all  $k \in U \cap \mathbb{Z}^{\geq 2}$ , there exists a scalar  $\lambda(k) \in \mathbb{C}_p$  such that  $\rho_k(\mu_*) = \lambda(k)I_{f_k}$ .*

*Moreover, one can choose  $U$  so that  $\lambda(k) \neq 0$  for all  $k \in U \cap \mathbb{Z}^{\geq 2}$ .*

## $p$ -adic $L$ -functions

The  $\mathbb{D}_*^\dagger$ -valued modular symbol  $\mu_*$  can be used to attach a two-variable  $p$ -adic  $L$ -function of  $(k, s) \in U \times \mathcal{X}$  to  $f_\infty$ , namely the [Mazur–Kitagawa  \$p\$ -adic  \$L\$ -function](#)

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**Interpolation property:** if  $1 \leq j \leq k - 1$  and  $(-1)^j = w_\infty$ , then

$$L_p(f_\infty; k, j) = \lambda(k)(1 - a_p(k)^{-1}p^{j-1})L^*(f_k, j).$$

In terms of  $f_k^\sharp$ , for  $j = k/2$  one finds

$$L_p(f_\infty; k, k/2) = \lambda(k)(1 - a_p(k)^{-1}p^{k/2-1})^2L^*(f_k^\sharp, k/2).$$

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## Automorphic forms on definite quaternion algebras

Set  $N = pN^+N^-$ , with  $(N^+, N^-) = 1$ ,  $N^- =$  square-free product of an odd number of primes, and let

$B =$  definite quaternion algebra of discriminant  $N^{-\infty}$ .

$\iota_p : B_p = B \otimes_{\mathbb{Q}} \mathbb{Q}_p \rightarrow M_2(\mathbb{Q}_p)$  isomorphism.

$\Sigma = \prod_{\ell} \Sigma_{\ell}$  compact open subset (level structure) of  $\hat{B}^{\times}$ .

$A =$  a  $\mathbb{Q}_p$ -vector space or  $\mathbb{Z}_p$ -module with left linear  $GL_2(\mathbb{Q}_p)$ -action.

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## Definition

$\phi : \hat{B}^{\times} \rightarrow A$  is an  $A$ -valued automorphic form on  $B^{\times}$  of level  $\Sigma$  if

$$\phi(gb\sigma) = \iota_p(\sigma_p)^{-1}\phi(b) \quad \text{for all } g \in B^{\times}, b \in \hat{B}^{\times}, \sigma \in \Sigma.$$



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$$\phi(gb\sigma) = \iota_p(\sigma_p)^{-1}\phi(b) \quad \text{for all } g \in B^{\times}, b \in \hat{B}^{\times}, \sigma \in \Sigma.$$

Any  $A$ -valued automorphic form  $\phi \in S(\Sigma; A)$  is determined by its values in a set of representatives for the (finite!) double coset

$$X_{\Sigma} := B^{\times} \backslash \hat{B}^{\times} / \Sigma.$$

# Main examples

- 1) For  $A = \mathbb{Z}_p$  or  $\mathbb{Q}_p$  with trivial action,  $S_2(\Sigma) := S(\Sigma; A)$  is the space of **weight two forms** of level  $\Sigma$ .
- 2) When  $A = V_k(\mathbb{Q}_p)$ , dual of  $\mathfrak{P}_k(\mathbb{Q}_p)$ ,  $S_k(\Sigma) := S(\Sigma; V_k(\mathbb{Q}_p))$  is the space of **weight  $k$  forms** of level  $\Sigma$ .
- 3) If  $\Sigma_p = \mathrm{GL}_2(\mathbb{Q}_p)$  and  $A = \mathbb{D}_*$  or  $\mathbb{D}_*^\dagger$ , one gets spaces of  **$p$ -adic families of modular forms**

$$S_\infty(\Sigma) := S(\Sigma; \mathbb{D}_*), \quad S_\infty^\dagger(\Sigma) := S(\Sigma; \mathbb{D}_*^\dagger).$$

These are modules over  $\Lambda$  and  $\Lambda^\dagger$ , with compatible Hecke action. When replacing  $\Sigma_p$  by  $\Sigma'_p = \Gamma_0(p\mathbb{Z}_p)$ ,  $\rho_k : \mathbb{D}_* \rightarrow V_k$  induces a  $\Gamma_0(p\mathbb{Z}_p)$ -equivariant weight  $k$  specialization homomorphism

$$\rho_k : S_\infty(\Sigma) \longrightarrow S_k(\Sigma').$$

## Level structures

Let  $\underline{R} \subset B$  be an Eichler order of level  $N^+$  with  $\iota_p(\underline{R} \otimes \mathbb{Z}_p) = M_2(\mathbb{Z}_p)$ . Then we consider the level structure

$$\Sigma = \Sigma_0(N^+, N^-) = \prod_{\ell} (\underline{R} \otimes \mathbb{Z}_{\ell})^{\times} \subset \hat{B}^{\times}$$

and the (finite) double coset

$$X_0(N^+, N^-) = B^{\times} \backslash \hat{B}^{\times} / \Sigma_0(N^+, N^-).$$

Notice that  $\Sigma_p = \mathrm{GL}_2(\mathbb{Z}_p)$ . Replacing  $\Sigma_p$  by  $\Sigma'_p = \iota_p^{-1}(\Gamma_0(p\mathbb{Z}_p))$ , strong approximation gives that for  $\Sigma' = \Sigma_0(pN^+, N^-)$

$$X_0(pN^+, N^-) = R^{\times} \backslash B_p^{\times} / \iota_p^{-1}(\Gamma_0(p\mathbb{Z}_p)) = \tilde{\Gamma} \backslash \mathrm{GL}_2(\mathbb{Q}_p) / \Gamma_0(p\mathbb{Z}_p),$$

where  $R$  is an Eichler  $\mathbb{Z}[1/p]$ -order with  $\underline{R}[1/p] = R$  and  $\tilde{\Gamma} := \iota_p(R^{\times})$ .

# Jacquet–Langlands

Recall our factorization  $N = pM = pN^+N^-$ .

If  $\Gamma \subseteq \mathrm{SL}_2(\mathbb{Z})$  is a congruence subgroup, write  $S_k(\Gamma) := S_k(\Gamma; \mathbb{Q}) \otimes_{\mathbb{Q}} \mathbb{Q}_p$ , and similarly

$$\begin{aligned} S_k(N^+, N^-) &:= S_k(\Sigma_0(N^+, N^-); V_k(\mathbb{Q}_p)), \\ S_k(pN^+, N^-) &:= S_k(\Sigma_0(pN^+, N^-); V_k(\mathbb{Q}_p)). \end{aligned}$$

# Jacquet–Langlands

Recall our factorization  $N = pM = pN^+ N^-$ .

If  $\Gamma \subseteq \mathrm{SL}_2(\mathbb{Z})$  is a congruence subgroup, write  $S_k(\Gamma) := S_k(\Gamma; \mathbb{Q}) \otimes_{\mathbb{Q}} \mathbb{Q}_p$ , and similarly

$$\begin{aligned} S_k(N^+, N^-) &:= S_k(\Sigma_0(N^+, N^-); V_k(\mathbb{Q}_p)), \\ S_k(pN^+, N^-) &:= S_k(\Sigma_0(pN^+, N^-); V_k(\mathbb{Q}_p)). \end{aligned}$$

## Theorem

*There are Hecke-equivariant isomorphisms*

$$S_k(N^+, N^-) \rightarrow S_k^{\mathrm{new}-N^-}(\Gamma_0(M)), \quad S_k(pN^+, N^-) \rightarrow S_k^{\mathrm{new}-N^-}(\Gamma_0(N)).$$

*Crucial:  $E/\mathbb{Q}$  has at least one prime  $\neq p$  of multiplicative reduction!*

## Jacquet–Langlands in families

$$f \rightsquigarrow f_\infty \rightsquigarrow \{f_k^\sharp \in S_k^{\text{new}-N^-}(\Gamma_0(M)) : k \in U \cap \mathbb{Z}^{>2}\}$$

$$(\text{JL}) \rightsquigarrow \{\phi_k^\sharp \in S_k(N^+, N^-) : k \in U \cap \mathbb{Z}^{>2}\},$$

$$(p\text{-stab}) \rightsquigarrow \{\phi_k \in S_k(pN^+, N^-) : k \in U \cap \mathbb{Z}^{>2}\}, \langle \phi_k, \phi_k \rangle = 1.$$

Also,  $f = f_2 \rightsquigarrow \phi_2 \in S_2(pN^+, N^-)$ , **new at  $p$** . Require  $\phi_2$  to be  $\mathbb{Z}$ -valued.

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Also,  $f = f_2 \rightsquigarrow \phi_2 \in S_2(pN^+, N^-)$ , **new at  $p$** . Require  $\phi_2$  to be  $\mathbb{Z}$ -valued.

## Theorem

There is a  $p$ -adic family  $\phi_\infty \in S_\infty^\dagger(N^+, N^-)$  such that

- $\rho_2(\phi_\infty) = \phi_2$ ;
- if  $U$  is a neighborhood of regularity for the measures  $\phi_\infty(g) \in \mathbb{D}_*^\dagger$  and  $k \in U \cap \mathbb{Z}^{>2}$ , there is a scalar  $\lambda_B(k) \in \mathbb{C}_p$  such that

$$\rho_k(\phi_\infty) = \lambda_B(k)\phi_k.$$

## Remarks

- The forms  $\phi_k, \phi_k^\sharp$  can be seen as functions on lattices in  $\mathbb{Q}_p^2$  satisfying certain invariance and compatibility properties.



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- Further, one can associate to  $\phi_\infty$  a collection of measures  $\mu_L \in \mathbb{D}^\dagger$  indexed by the lattices in  $\mathbb{Q}_p^2$  (in a similar way as for the measure-valued modular symbol  $\mu_*$ ).

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- The forms  $\phi_k, \phi_k^\sharp$  can be seen as functions on lattices in  $\mathbb{Q}_p^2$  satisfying certain invariance and compatibility properties.
- Further, one can associate to  $\phi_\infty$  a collection of measures  $\mu_L \in \mathbb{D}^\dagger$  indexed by the lattices in  $\mathbb{Q}_p^2$  (in a similar way as for the measure-valued modular symbol  $\mu_*$ ).
- In weight 2, the situation is particularly nice and explicit:  $\phi = \phi_2$  might be seen as a ( $\mathbb{Z}$ -valued) function on the Bruhat–Tits tree, and defines a *boundary measure*  $\mu_\phi$  on  $\mathbb{P}_1(\mathbb{Q}_p) = \partial\mathcal{H}_p$ . Teitelbaum associates to  $\mu_\phi$  a rigid analytic modular form

$$f_\phi(z) = \int_{\mathbb{P}_1(\mathbb{Q}_p)} \frac{d\mu_\phi(t)}{(t-z)}$$

on  $\mathcal{H}_p$ , invariant under  $\Gamma := \{\gamma \in \tilde{\Gamma} : \det(\gamma) = 1\} \subset \mathrm{SL}_2(\mathbb{Q}_p)$ .

Setting and motivation

Some preliminaries

Modular forms on quaternion algebras

Auxiliary  $p$ -adic  $L$ -functions

Heegner points

Proof of the main result

Aim:

to introduce an **auxiliary  $p$ -adic  $L$ -function**

$$L_p(f_\infty/K; k)$$

associated with  $f_\infty$  and a choice of (admissible) imaginary quadratic field  $K$ , by means of **optimal embeddings** of  $\mathcal{O}_K$  into a suitable definite quaternion algebra, and relate it to the **Mazur–Kitagawa  $p$ -adic  $L$ -function**

$$L_p(f_\infty; k, s).$$

## Optimal embeddings

Set  $N = pN^+N^-$ , with  $(N^+, N^-) = 1$ ,  $N^- =$  square-free product of an odd number of primes, and let

$B =$  definite quaternion algebra of discriminant  $N^- \infty$ .

$R =$  Eichler  $\mathbb{Z}[1/p]$ -order of level  $N^+$ .

$\underline{R} =$  Eichler  $\mathbb{Z}$ -order of level  $N^+$  such that  $\underline{R}[1/p] = R$ .

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Let  $K$  be an *admissible* imaginary quadratic field, i.e.

- i) all primes dividing  $N^+$ , resp.  $N^-$ , are split, resp. inert, in  $K$ ;
- ii) the prime  $p$  is unramified in  $K$ .

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- i) all primes dividing  $N^+$ , resp.  $N^-$ , are split, resp. inert, in  $K$ ;
- ii) the prime  $p$  is unramified in  $K$ .

### Definition

A pair  $(\Psi, b) \in \text{Hom}(K, B) \times (\hat{B}^\times / \hat{R}^\times)$  is an optimal embedding of  $K$  into  $B$  of level  $N^+$  if

$$\Psi(K) \cap (b\underline{R}b^{-1}) = \Psi(\mathcal{O}_K).$$

## Optimal embeddings: $B^\times$ - and $G_D$ -actions

$B^\times$  acts on the left on  $\text{Hom}(K, B) \times (\hat{B}^\times / \hat{R}^\times)$  by

$$g \cdot (\Psi, b) := (g\Psi g^{-1}, gb)$$

preserving the set of optimal embeddings of level  $N^+$ .



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$$\text{Emb}(\mathcal{O}_K, N^+, N^-) = \text{set of orbits} = B^\times \backslash \{\text{Optimal embeddings}\}.$$

The class group  $G_D := \hat{K}^\times \backslash K^\times \hat{\mathcal{O}}_K^\times$  acts on  $\text{Emb}(\mathcal{O}_K, N^+, N^-)$  by

$$[\Psi^\sigma] := \sigma \cdot [\Psi, b] := [\Psi, \hat{\Psi}(\sigma)b],$$

where  $\hat{\Psi} : \hat{K} \rightarrow \hat{B}$  is induced by  $\Psi : K \rightarrow B$  (tensoring with  $\hat{\mathbb{Z}}$ ).

## Optimal embeddings: quadratic forms

An optimal embedding  $\Psi = (\Psi, b)$  determines a quadratic form of discriminant  $4D$  and  $\mathbb{Q}_p$ -coefficients,

$$Q_\Psi(x, y) = cx^2 + (d - a)xy - by^2, \quad \text{where } \iota_p \Psi(\sqrt{D}) = \begin{pmatrix} a & b \\ c & d \end{pmatrix}.$$

Up to sign,  $Q_\Psi$  is determined by its discriminant and the property

$$Q_\Psi(\tau_\Psi, 1) = Q_\Psi(\tau'_\Psi, 1) = 0,$$

where  $\tau_\Psi, \tau'_\Psi \in \mathbb{P}_1(\mathbb{C}_p)$  are the fixed points of  $\iota_p(\Psi(K_p^\times))$ .

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Associated to  $\phi_k^\sharp \in S_k(N^+, N^-)$  and  $[\Psi] = [\Psi, b]$ , define

$$\phi_k^\sharp[\Psi] := \det(b_p)^{1-k/2} \phi_k^\sharp(b) (Q_\Psi^{\frac{k-2}{2}} | b_p) \in \mathbb{C}_p.$$

If  $p$  splits in  $K$  and  $[\Psi, b] \in \text{Emb}(\mathcal{O}_K, pN^+, N^-)$ , define  $\phi_2[\Psi] := \phi_2(b)$ .

## Optimal embeddings and special values ( $k > 2$ )

Recall the modular forms  $f_k^\sharp$ . The algebraic part of  $L(f_k^\sharp/K, k/2)$  is

$$L^*(f_k^\sharp/K, k/2) := \frac{(k/2 - 1)!^2 D^{\frac{k-1}{2}}}{(2\pi)^{k-2} \langle f_k^\sharp, f_k^\sharp \rangle} \cdot L(f_k^\sharp/K, k/2).$$

For  $k = 2$ ,  $L^*(f/K, 1) = \langle f, f \rangle^{-1} \sqrt{D} \cdot L(f/K, 1)$ .

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### Proposition (Hatcher, Hui Xue)

For all  $k \in U \cap \mathbb{Z}^{>2}$ ,

$$L^*(f_k^\sharp/K, k/2) = \left( \sum_{j=1}^h \phi_k^\sharp[\Psi^{\sigma_j}] \right)^2.$$

## Optimal embeddings and special values ( $k = 2$ )

When  $k = 2$ , we consider  $f = f_2$  and  $\phi_2$  (of level  $pN^+N^-$ ).

Observe  $\text{Emb}(\mathcal{O}_K, pN^+, N^-)$  is non-empty if and only if  $p$  splits in  $K$ .

### Proposition

$$L^*(f/K, 1) = \begin{cases} 0 & \text{if } p \text{ is inert in } K, \\ \langle \phi_2, \phi_2 \rangle^{-1} \left( \sum_{j=1}^h \phi_2[\Psi^{\sigma_j}] \right)^2 & \text{if } p \text{ splits in } K. \end{cases}$$

# (Two-variable) $p$ -adic $L$ -functions

Fix  $2 \in U \subset \mathcal{X}$  as usual.

## Definition

The  $p$ -adic partial  $L$ -function attached to  $f_\infty/K$  and  $[\Psi]$  is

$$\mathcal{L}_p(f_\infty/K, \Psi; k) := \int_{\tilde{L}_\Psi} \langle Q_\Psi(x, y) \rangle^{\frac{k-2}{2}} d\mu_{L_\Psi}(x, y).$$

The  $p$ -adic  $L$ -function attached to  $f_\infty/K$  is

$$L_p(f_\infty/K; k) := (\mathcal{L}_p(f_\infty/K; k))^2,$$

where

$$\mathcal{L}_p(f_\infty/K; k) := \sum_{j=1}^h \mathcal{L}_p(f_\infty/K, \Psi^{\sigma_j}; k).$$



## Theorem (Interpolation property)

- If  $p$  is inert in  $K$ , then  $L_p(f_\infty/K, 2) = 0$  and for  $k \in U \cap \mathbb{Z}^{>2}$

$$L_p(f_\infty/K; k) = \lambda_B(k)^2 a_p(k)^2 \left(1 - \frac{p^{k-2}}{a_p(k)^2}\right)^2 L^*(f_k^\# / K, k/2).$$

- If  $p$  splits in  $K$ , then

$$L_p(f_\infty/K; 2) = (1 - a_p^{-1})^2 \langle \phi_2, \phi_2 \rangle L^*(f/K, 1),$$

and for all  $k \in U \cap \mathbb{Z}^{>2}$ ,

$$\begin{aligned} L_p(f_\infty/K; k) &= \lambda_B(k)^2 a_p(k)^2 \left(1 - \frac{p^{\frac{k-2}{2}}}{a_p(k)}\right)^4 L^*(f_k^\# / K, k/2) \\ &= \lambda_B(k)^2 a_p(k)^2 \left(1 - \frac{p^{\frac{k-2}{2}}}{a_p(k)}\right)^2 L^*(f_k / K, k/2). \end{aligned}$$

## Remark

Assume  $h = 1$  for simplicity. By factoring the quadratic form  $Q_\Psi$  as

$$Q_\Psi(x, y) = A(x - \tau_\Psi y)(x - \tau'_\Psi y),$$

one could define a two-variable  $p$ -adic  $L$ -function on  $(k, s) \in U \times \mathbb{Z}_p$  by

$$\mathcal{L}_p(f_\infty/K; k, s) := A^{\frac{k-2}{2}} \int_{\tilde{L}_\Psi} \langle x - \tau_\Psi y \rangle^{s-1} \langle x - \tau'_\Psi y \rangle^{k-s-1} d\mu_{L_\Psi}(x, y),$$

$$L_p(f_\infty/K; k, s) := \mathcal{L}_p(f_\infty/K; k, s) \mathcal{L}_p(f_\infty/K; k, k-s).$$

When restricted to the “critical line”  $s = k/2$  one recovers  $L_p(f_\infty/K; k)$ . And when restricted to the “weight 2 line”  $k = 2$ ,  $L_p(f_\infty/K; 2, s)$  is the **anticyclotomic  $p$ -adic  $L$ -function** interpolating special values of the  $L$ -series attached to  $E/K$ , twisted by ring class characters of  $p$ -power conductor (Bertolini–Darmon).

# A factorization of $p$ -adic $L$ -functions

Consider the function

$$\eta(k) := \begin{cases} \langle \phi_2, \phi_2 \rangle & \text{if } k = 2, \\ a_p(k)^2 D^{k/2-1} \frac{\lambda_B(k)^2}{\lambda^+(k)\lambda^-(k)} & \text{for } k \in U \cap \mathbb{Z}^{\geq 2}. \end{cases}$$

## Proposition

For every  $k \in U \cap \mathbb{Z}^{\geq 2}$ ,

$$L_p(f_\infty/K; k) = \eta(k) L_p(f_\infty; k, k/2) L_p(f_\infty, \epsilon_K; k, k/2).$$

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The proof follows from the complex factorization of special values

$$L(f_k^\sharp/K, k/2) = L(f_k^\sharp, k/2) L(f_k^\sharp, \epsilon_K, k/2)$$

and the interpolation properties.

# Derivatives

Assume  $p$  is **inert** in  $K$ . Then for any  $[\Psi]$  we have  $\mathcal{L}_p(f_\infty/K, \Psi; 2) = 0$ .  
Let  $\tau_\Psi, \tau'_\Psi$  be the fixed points of  $\Psi$  and set

$$J_\Psi := \int^{\tau_\Psi} \omega_\phi, \quad \bar{J}_\Psi := \int^{\tau'_\Psi} \omega_\phi,$$

where for  $\tau \in \mathcal{H}_p(\mathbb{Q}_{p^2})$ ,  $L_\tau := \{(x, y) \in \mathbb{Q}_p^2 : x - \tau y \in \mathbb{Z}_{p^2}\}$  and

$$\int^\tau \omega_\phi := \int_{L'_\tau} \log(x - \tau y) d\mu_{L_\tau} := \frac{d}{dk} \left( \int_{L'_\tau} \langle x - \tau y \rangle^{k-2} d\mu_{L_\tau} \right)_{k=2}$$

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## Theorem

For all  $[\Psi] \in \text{Emb}(\mathcal{O}_K, N^+, N^-)$ ,

$$\frac{d}{dk} \mathcal{L}_p(f_\infty/K, \Psi; k)_{k=2} = \frac{1}{2} (J_\Psi + \bar{J}_\Psi).$$

Setting and motivation

Some preliminaries

Modular forms on quaternion algebras

Auxiliary  $p$ -adic  $L$ -functions

**Heegner points**

Proof of the main result

## Aim:

to interpret via Cherednik-Drinfeld the previous **optimal embeddings** as special points on an indefinite Shimura curve, and deduce a relationship between the second derivative of  $L_p(f_\infty/K; k)$  at  $k = 2$  and a **Heegner point** on  $E$ .



## Shimura curves

Recall the Eichler  $\mathbb{Z}[1/p]$ -order  $R$  of level  $N^+$  in  $B$ ,  $\tilde{\Gamma} = \iota_p(R^\times)$  and

$$\Gamma = \{\gamma \in \tilde{\Gamma} : \det(\gamma) = 1\} \subset \mathrm{SL}_2(\mathbb{Q}_p).$$

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The weight two form  $\phi$  defines the rigid analytic modular form

$$f_\phi(z) = \int_{\mathbb{P}_1(\mathbb{Q}_p)} \frac{d\mu_\phi(t)}{(t-z)} \quad (\text{Teitelbaum}),$$

which gives a  $\Gamma$ -invariant differential  $\omega_\phi = f_\phi(z)dz$  on the  $p$ -adic upper half plane  $\mathcal{H}_p = \mathbb{P}_1(\mathbb{C}_p) - \mathbb{P}_1(\mathbb{Q}_p)$ , or equivalently a differential on

$$X_\Gamma = \mathcal{H}_p/\Gamma.$$

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$$X_\Gamma = \mathcal{H}_p/\Gamma.$$

**Mumford**  $\Rightarrow X_\Gamma = X_{\mathbb{Q}_p}(\mathbb{C}_p)$  for some projective curve over  $\mathbb{Q}_p$ .

## Cherednik–Drinfeld

Let  $\mathcal{B}$  be the *indefinite* quaternion algebra of discriminant  $pN^-$  obtained from  $B$  by interchanging local invariants at  $p$  and  $\infty$ . Fix an isomorphism

$$\iota_\infty : \mathcal{B} \otimes \mathbb{R} \longrightarrow M_2(\mathbb{R}),$$

an Eichler order  $\mathcal{S}$  of level  $N^+$ , and let  $\Gamma_\infty := \iota_\infty(\mathcal{S}_1) \subset \mathrm{SL}_2(\mathbb{R})$ . By Shimura, the compact Riemann surface

$$X_{\Gamma_\infty} := \mathcal{H}/\Gamma_\infty$$

is the complex analytic space attached to a projective curve  $X/\mathbb{Q}$ : the coarse moduli space for QM-abelian surfaces with level  $N^+$  structure.

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## Theorem (Cherednik–Drinfeld)

$X_\Gamma$  is the rigid analytic space attached to  $X(\mathbb{C}_p)$ . More precisely,  $X$  and  $X_{\mathbb{Q}_p}$  are isomorphic over  $X_{\mathbb{Q}_{p^2}}$ .

# Modular parametrizations

Let  $\text{Jac}_X = \text{Div}^0(X)/P(X)$  be the Jacobian of  $X$ .

Jacquet–Langlands }  
Eichler–Shimura }  $\Rightarrow \text{Jac}_X$  has simple factor  $A_\phi$ ,  $L(A_\phi, s) = L(E, s)$ .

By the Isogeny Theorem,  $A_\phi \sim_{\mathbb{Q}} E$ , hence  $\exists \varphi_E : \text{Jac}_X \rightarrow E$ .

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Let  $\text{Div}^0(X_\Gamma) =$  degree zero divisors supported on  $X_\Gamma = \mathcal{H}_p/\Gamma$ , and

$$\text{Jac}_\Gamma := \text{Div}^0(X_\Gamma)/P(X_\Gamma).$$

Identifying  $\text{Jac}_\Gamma$  with  $\text{Jac}_X(\mathbb{C}_p)$  via Cherednik–Drinfeld:

## Theorem

Over  $\mathbb{Q}_p^2$ ,  $\varphi_E : \text{Jac}_X \rightarrow E$  can be described by  $\varphi_E(d) = \Phi_{\text{Tate}}(\prod_d \omega_\phi)$ .

# Heegner points

From now on we assume  $p$  **inert** in  $K$ .

$H := \text{Hilb}(K)$ ,  $G_D \simeq \text{Gal}(H/K)$ ,  $H \hookrightarrow \mathbb{Q}_{p^2}$ .

$[\Psi] \in \text{Emb}(\mathcal{O}_K, N^+, N^-)$ .



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$[\Psi] \in \text{Emb}(\mathcal{O}_K, N^+, N^-)$ .

$\iota_p \Psi(K_p^\times)$  has a unique fixed point  $\tau_\Psi \in \mathcal{H}_p(\mathbb{Q}_{p^2})$  such that

$$\iota_p \Psi(\alpha) \begin{pmatrix} \tau_\Psi \\ 1 \end{pmatrix} = \alpha \begin{pmatrix} \tau_\Psi \\ 1 \end{pmatrix}.$$

By passing to the quotient,

$$\tau_\Psi \mapsto \tilde{P}_\Psi \in X_\Gamma(\mathbb{Q}_{p^2}) = X(\mathbb{Q}_{p^2}).$$

## Heegner points

From now on we assume  $p$  **inert** in  $K$ .

$H := \text{Hilb}(K)$ ,  $G_D \simeq \text{Gal}(H/K)$ ,  $H \hookrightarrow \mathbb{Q}_{p^2}$ .

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### Theorem (Complex Multiplication)

*The point  $\tilde{P}_\Psi$  belongs to  $X(H)$ , and for every  $\sigma \in G_D$ ,  $\tilde{P}_{\Psi^\sigma} = \sigma(\tilde{P}_\Psi)$ .*

## Heegner points

Choose an auxiliary prime  $\ell \nmid N$ , and set

$$\tilde{P}_K := (\ell + 1 - a_\ell)^{-1} (\ell + 1 - T_\ell) \sum_{\sigma \in G_D} \tilde{P}_{\Psi^\sigma} \in \text{Div}^0(X)(K) \otimes \mathbb{Q}.$$

Via  $\varphi_E$  we obtain the so-called Heegner point attached to  $\Psi$ ,

$$P_K := \varphi_E(\tilde{P}_K) \in E(K) \otimes \mathbb{Q}.$$

Similarly, let  $J_K := \sum_{\sigma \in G_D} J_{\Psi^\sigma}$ , and  $\bar{J}_K$  be its  $\mathbb{Q}_{p^2}$ -conjugate.

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## Theorem

- (1) [Zhang]  $P_K$  is of infinite order if and only if  $L'(E/K, 1) \neq 0$ . And in that case,  $\text{rk}_{\mathbb{Z}} E(K) = 1$ .
- (2)  $J_K = \log_E(P_K)$  and  $\bar{J}_K = a_p \log_E(P_K)$ .

## Heegner points and derivatives

Using the relation of the periods  $J_K$  and  $\bar{J}_K$  with the derivative of  $\mathcal{L}_p(f_\infty/K; k)$  at  $k = 2$  and part (2) of the previous theorem,

$$\frac{d}{dk} \mathcal{L}_p(f_\infty/K; k)|_{k=2} = \frac{1}{2}(1 + a_p) \log_E(P_K) = \begin{cases} \log_E(P_K) & \text{if } a_p = 1, \\ 0 & \text{if } a_p = -1. \end{cases}$$

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### Corollary

$$\frac{d^2}{dk^2} L_p(f_\infty/K; k)|_{k=2} = \begin{cases} 2 \log_E(P_K)^2 & \text{if } a_p = 1 \\ 0 & \text{if } a_p = -1 \end{cases}$$

Recall that  $L_p(f_\infty/K; k) := (\mathcal{L}_p(f_\infty/K; k))^2$  and  $p$  is inert in  $K$ .

Setting and motivation

Some preliminaries

Modular forms on quaternion algebras

Auxiliary  $p$ -adic  $L$ -functions

Heegner points

Proof of the main result

## Theorem (Bertolini–Darmon)

Suppose  $E$  has split multiplicative reduction at  $p$ , has at least two primes of multiplicative reduction, and  $\text{sign}(E, \mathbb{Q}) = -1$ .

- 1) There is a global point  $\mathbf{P} \in E(\mathbb{Q}) \otimes \mathbb{Q}$  and a scalar  $C \in \mathbb{Q}^\times$  such that

$$\frac{d^2}{dk^2} L_p(f_\infty; k, k/2)|_{k=2} = C \cdot \log_E(\mathbf{P})^2.$$

- 2) The point  $\mathbf{P}$  is of infinite order if and only if  $L'(E, 1) \neq 0$ .



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2) The point  $\mathbf{P}$  is of infinite order if and only if  $L'(E, 1) \neq 0$ .

**Sketch of proof:** the assumptions imply that

$$\text{ord}_{k=2} L_p(f_\infty; k, k/2) \geq 2.$$

Factor  $N = pN^+N^-$  as before and choose  $K$  admissible such that  $p$  is inert in  $K$  and

$$L(f, \epsilon_K, 1) \neq 0.$$

Now use the factorization of  $p$ -adic  $L$ -functions

$$L_p(f_\infty/K; k) = \eta(k)L_p(f_\infty; k, k/2)L_p(f_\infty, \epsilon_K; k, k/2), \quad k \in U \cap \mathbb{Z}^{\geq 2}.$$

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Taking second derivatives and evaluating at  $k = 2$ ,

$$2 \log_E(P_K)^2 = \eta(2) \frac{d^2}{dk^2} L_p(f_\infty; k, k/2)|_{k=2} L_p(f, \epsilon_K; 1),$$

hence

$$\frac{d^2}{dk^2} L_p(f_\infty; k, k/2)|_{k=2} = (\eta(2)L^*(f, \epsilon_K, 1))^{-1} \cdot \log_E(P_K)^2.$$

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By our choice of  $K$ , Kolyvagin's theorem implies  $P_K \in E(\mathbb{Q}) \otimes \mathbb{Q}$ , so the theorem follows with  $\mathbf{P} = P_K$ .

# A second derivative result for $p$ -adic $L$ -functions (after Bertolini–Darmon)

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